

# **Triple Negative Breast Cancer**

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## Abstract

Cancer is the second leading cause of death worldwide and has an impact on everyone. Approximately every two minutes, a woman in the United States is diagnosed with breast cancer and recently there has been an increase in the incidences of breast cancer. Breast cancer is the leading cause of cancer related deaths in women worldwide with a fatality rate of about 2.6%. Triple negative breast cancer (TNBC), a subtype of breast cancer, makes up approximately 10-15% of all breast cancers. It is a subset of ER-negative breast cancer and does not have estrogen receptor alpha, progesterone receptor, and HER2 markers. It is usually a more aggressive form of breast cancer, has more metastasis, and the recurrence free survival is short. As of now, there only exists targeted therapies to treat ER-positive breast cancer. For TNBC, the identifying factors, the markers, that aid in treatment success are lacking and therefore no previous targeted therapies are effective. The only option that exists is chemotherapy, however it is usually not effective and comes with many adverse side effects. There exists a great need to continue exploring treatment options for TNBC and in order to do so, the pathways and mechanisms that are unique to it must be explored. It has been shown that  $ERR\alpha$  provides the metabolic needs and energy of the rapidly dividing tumor cells and a high level of  $ERR\alpha$  expression has been linked to worse outcomes for breast cancer patients. Additionally, the mitogen-activated protein kinase (MAPK) pathway is involved in cell proliferation and in cancer cells the receptors responsible for activating this pathway are overexpressed. The PI3K-Akt pathway is also involved in cell proliferation and a mutation in the Akt protein, or an upregulation of it, is associated with the formation of tumors.

MDA-MB-231 cells were studied to see how tamoxifen, XCT-790, and U0126 effected its cell proliferation. Tamoxifen is a selective estrogen receptor modulator, meaning an

antagonist for ERs in breast tissue, XCT-790 is a specific antagonist of  $ERR\alpha$ , and U0126 is an inhibitor of MEK/ERK or the MAPK pathway. It was discovered that the inhibition of MAPK signaling, U0126, and the use of an antagonist of  $ERR\alpha$ , XCT-790, lead to a significant decrease in cell proliferation in the TNBC cells. For the first time, there has been seen that a link exists between  $ERR\alpha$  expression and the MAPK signaling pathway. This lays down the foundation for a possible therapeutic use of the MAPK inhibitors in treating TNBC that expresses  $ERR\alpha$ .

## **Introduction**

As the second leading cause of death worldwide, cancer is not something to take lightly, and treatment options need to be developed to slow its effects. Cancer is second to heart disease, but in some high-income countries, deaths from cancer are more common (Hulvat, 2020). In 2022, the three most common types of cancer among women were breast, uterine corpus, and thyroid cancer, while among men the most common types included colon, prostate, and melanoma of the skin (Miller et al., 2022). The existence of many forms of cancer, each with its own unique characteristics, and the deadly effects of it, necessitate many different treatment options and research in developing effective treatments for each type.

Significant progress has been made at curbing the deadly effects of cancer, however, there is a lot more that needs to be achieved. Between the years of 1991 and 2015, the overall death rate from cancer in the United States has fallen by 26%. This is partly due to the increase in screening, prevention, and successful treatments (Hulvat, 2020). As of January 1, 2022, more than 18 million Americans who had a history of cancer were alive but many more did not make it (Miller et al., 2022). Additionally, treatment options don't only need to be more effective at treating cancer but need to be made more accessible to all no matter the family income. In high-income countries, there are higher incidence rates for all cancers, specifically lung, colorectal, breast, and prostate, however mortality rates are declining. In low-income and middle-income countries, there are higher rates of stomach, liver, cervical, and esophageal cancers but mortality rates remain high (Hulvat, 2020). The statistics show that income effects mortality rates, so it is important to continue to develop treatment methods that are both effective at treating the cancer and accessible to all.

Breast cancer is a very important type of cancer to develop treatments for as it has a very high incidence rate in women and a rate that slowly keeps on increasing. Approximately every two minutes, a woman is diagnosed with breast cancer in the United States. According to the National Breast Cancer Foundation, that correlates to 1 in 8 women in the United States being diagnosed with breast cancer in their lifetime (*Breast Cancer Facts & Stats*, 2020). The highest rates for breast cancer incidence are found in Western Europe, the United States, and Israel while the lowest rates are seen in Africa and Asia (Hulvat, 2020). In North America, Europe, and Oceania, breast cancer is the most common cancer found specifically in women (Torre et al., 2016). Figure 1 shows the estimated age-standardized incidence rates (ASR) of cancer in both men and women in the world (Hulvat, 2020). It shows that per 100,000 people in the world, the ASR for breast cancer is slightly greater than 45 and is followed by prostate which is slightly less than 30, and then lung, colorectum, cervix uteri, stomach, liver, corpus uteri, thyroid, and ending with ovary which is about 7. Breast cancer is leading all other types of cancers worldwide and is substantially higher than the second most form of cancer, prostate, by about 15 ASR per 100,000 people. Due to its high rate worldwide, breast cancer is an important cancer to research.

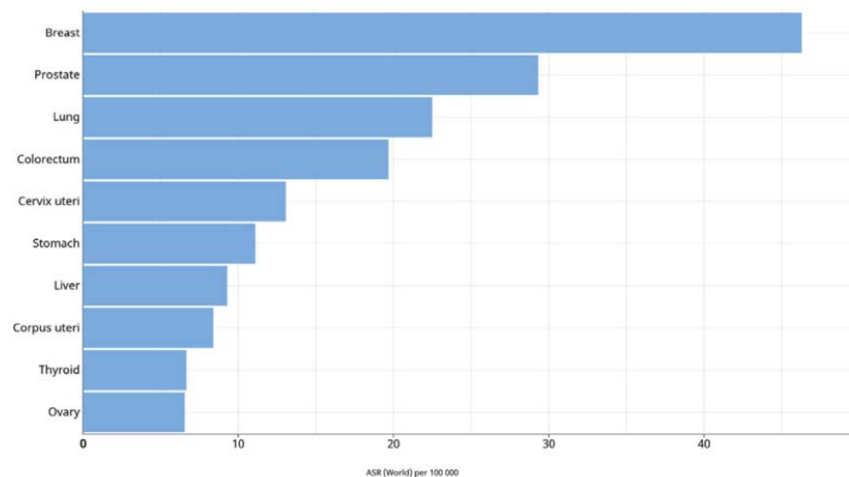


Figure 1. Estimated Age-Standardized Incidence Rates (ASR) of Cancer per 100,000 People Worldwide in 2018 (Hulvat, 2020).

Not only are there high incidence rates for breast cancer, recently there has been an increase in the incidences of breast cancer (Hulvat, 2020) showing that over the years there has been an even greater need to develop treatments for it. This partially can be due to advances made in early detection, awareness, and changes in reproductive patterns (Torre et al., 2016). Between 1973-2012, the incidence rates of breast cancer were collected in selected countries. Figure 2 depicts the trends of breast cancer incidences during this time frame, and it is seen that generally there has been an increase over time (Torre et al., 2016). The figure shows the breast cancer incidence rate per 100,000 in selected countries between 1973 and 2012. The countries studied were organized into regions in Africa, Latin America, Asia, North America and Oceania, Northern and Eastern Europe, and Western and Southern Europe. In predominately all the countries, there is an increase in the breast cancer rate over time. Some exceptions include Israel and the United States which have both begin to show a slight decrease in rate in the more recent years however there still exists a large increase compared to 1973. Since the rate for breast cancer is so large and keeps on increasing over the years, it is important to research and develop new methods to treat it before it gets to the point of death.

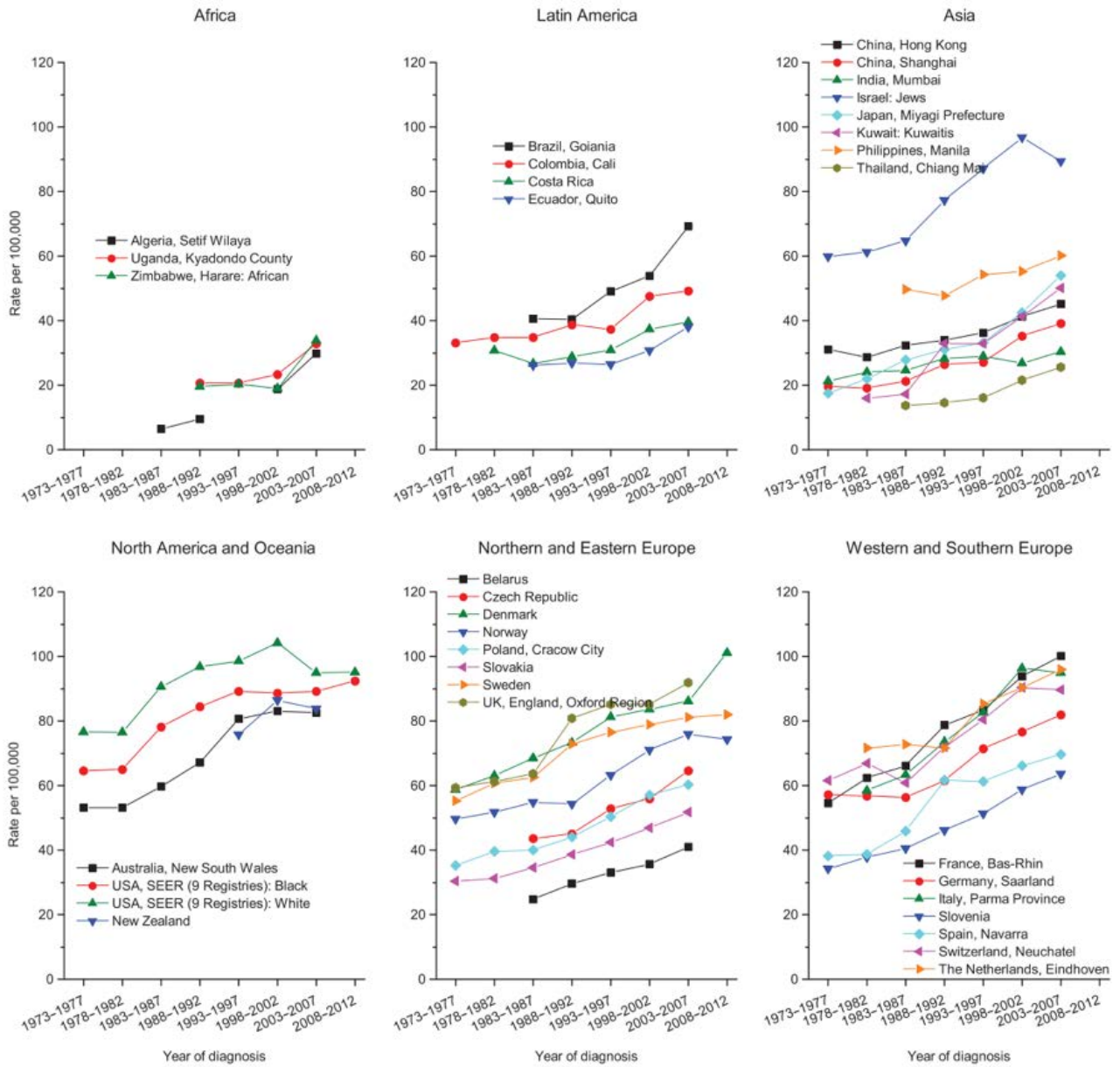


Figure 2. Breast Cancer Incidence Rate per 100,000 in Selected Countries Between 1973 and 2012 (Torre et al., 2016).

Besides the fact that there are so many women impacted by breast cancer, breast cancer is also the leading cause of cancer related deaths in women worldwide (Torre et al., 2016) so this shows that treating it is of even more importance. The American Cancer Society notes that about 1 in every 39 women diagnosed with breast cancer will die from it as the fatality rate is about



2.6% (*Triple-Negative Breast Cancer*, 2023). The mortality rates differ depending on many factors including ethnicity, race, and income. In the United States, the lowest mortality rates for breast cancer are among Korean women while the highest is seen among black women (Hulvat, 2020). In many high-income countries, breast cancer mortality rates have been decreasing since around 1990. This is despite the increase in incidences, which follows the patterns of many other forms of cancer (Torre et al., 2016).

Tremendous progress has been made at slowing down the fatality rates of breast cancer however there is still a long road ahead. Figure 3 shows the estimated number of cancer survivors by site in the United States as of January 1, 2022 (Miller et al., 2022). Among women, there are 4,055,770 breast cancer survivors followed by uterine corpus and thyroid that are both in the 800,000s. Breast cancer is the leading form of cancer survivors in the United States among women meaning there are approximately 4 million women who live in the United States with a history of breast cancer. It should be noted that this information is partially due to the fact that breast cancer is the most common form of cancer found in women in the United States (Torre et al., 2016).

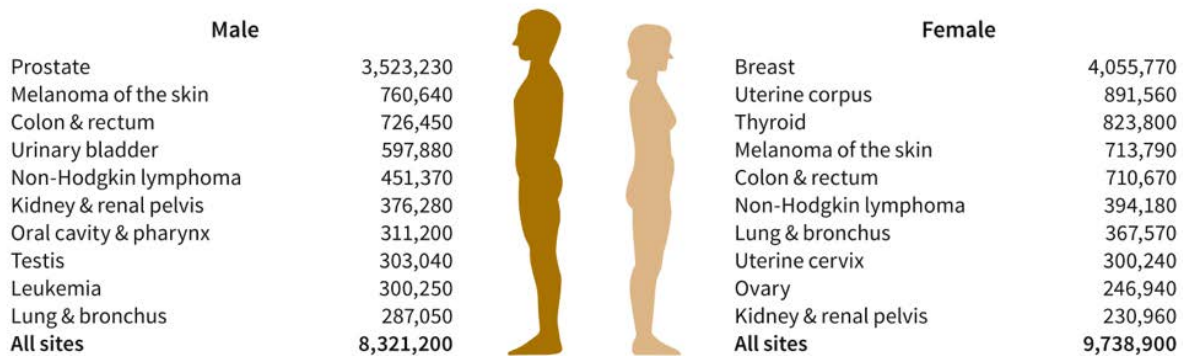


Figure 3. Estimated Number of Cancer Survivors by Site in the United States as of January 1, 2022 (Miller et al., 2022).

Many different women are susceptible to breast cancer, and it is a disease that impacts all walks of life and therefore everyone will benefit from treatment. Along with many other diseases, breast cancer has both a genetic predisposition and is also affected, but not caused, by environmental factors. About a quarter of the hereditary breast cancer cases are from a mutation in either the BRCA1, BRCA2, PTEN, TP53, CDH1, or STK11 gene. Those with a mutation in this gene have an 80% risk of getting breast cancer in their lifetime (Shiovitz & Korde, 2015). In addition to the genetic level, there are environmental risk factors that cause an increase in the occurrence of breast cancer. According to the US Centers for Disease Control and Prevention, alcohol is a risk factor for developing breast cancer among esophagus, colon, liver, oropharynx, and larynx cancers. In 2014, it was determined that for the women in the United States diagnosed with breast cancer, alcohol as a factor was seen in 16.4% of the cases. Another risk factor is the level of physical activity one engages in as it has also been seen that inactivity causes an increased risk in breast cancer incidences. Furthermore, there is a higher mortality rate for those inactive compared to those active. A study was done on women between the ages of 15 and 39 and it was seen that physical activity, along with high intake of plants and low red meat intake, decreased the risk of developing breast cancer (Hulvat, 2020). In addition to alcohol consumption and inactivity, other risks include long menstrual history, recent use of oral contraceptives, later age at first birth, use of menopausal hormone therapy, excess body weight (specifically seen by postmenopausal cancer), and weight gain after age 18. Breastfeeding, unlike most other factors in life, decreases the risk of developing breast cancer (Torre et al., 2016). These are all among the many contributing factors in developing breast cancer and can affect all different types of women, so it is important for the entire human population to continue looking for more effective treatments.

## Types of Breast Cancer

There are many different subtypes within breast cancer and to find the most effective treatment each one must be looked at individually. The different subtypes arise from expression of the different markers in the tumor cells including estrogen receptor alpha ( $ER\alpha$ ), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2). The cells that express the  $ER\alpha$  marker are called estrogen receptor positive (ER-positive) and those that do not express the marker are called estrogen receptor negative (ER-negative) (Musheyev et al., 2023). Figure 5 shows a summary of different subtypes within breast cancer and their receptor profiles, prevalence rates, and subcategories. The subtypes include hormone positive which is ER+ and PR+, HER2 positive which is HER2+, and triple negative breast cancer (TNBC) which is ER-, PR-, and HER2-. ER-positive accounts for roughly 70% of the breast cancer cases which is why it has previously been heavily studied (Barzaman et al., 2020). For breast cancer that expresses HER2, existing treatment includes monoclonal antibodies and chemotherapy, usually used in combination (Barzaman et al., 2020). For breast cancer that is ER-positive, existing treatments inhibit  $ER\alpha$  function by using endocrine therapies to either block the synthesis of estrogen, promote the degradation of  $ER\alpha$ , and to antagonize the binding of estrogen to  $ER\alpha$ . Antagonizing the binding of estrogen to  $ER\alpha$  is done through the usage of selective estrogen receptor modulators (SERM) and a drug that has been seen to be successful is tamoxifen which will be discussed in more detail below (Musheyev et al., 2023). However, resistance develops, and sometimes ER-positive patients cannot be treated with these targeted therapies. This leads to a need to explore other treatment options for ER-positive, and at the same time a need to explore treatments for ER-negative which these existing drugs do not treat.

**Table 1**  
Breast cancer subtypes category.

<i>Breast cancer subtype</i>	<i>Receptor profile</i>	<i>Subtype prevalence</i>	<i>Subcategories</i>
Hormone positive	ER+ or PR+	60%	Luminal A & B
HER2 positive	HER2+	20%	-
Triple negative breast cancer	ER-, PR- and HER2-	10-20%	Basal-like 1 (BL-1), basal-like 2 (BL-2), immunomodulatory (IM), mesenchymal (M), mesenchymal stem cell-like (MSL), and luminal androgen receptor (LAR)

Figure 5. Subtypes of Breast Cancer and Prevalence (Barzaman et al., 2020).

TNBC is a subset of ER-negative breast cancer which does not have targeted therapies to treat it. As its name explains, it is negative for the three markers: estrogen receptor alpha, progesterone receptor, and HER2. Within TNBC there are six categories; basal-like 1, basal-like 2, immunomodulatory, mesenchymal, mesenchymal stem cell-like, and luminal androgen receptor (Barzaman et al., 2020). TNBC makes up approximately 10-15% of all breast cancers and it is found to be more common in women who have the BRCA1 mutation, women who are below the age of 40, and in African American women (Manna et al., 2016). Compared to other forms of breast cancer, TNBC is normally found in younger women when women don't necessarily go for routine mammograms. Therefore, its early stages normally go undetected while for other forms of cancer it would normally be detected early on since older women generally go for routine mammograms. TNBC can affect anyone thus there is a strong need to be able to treat it. Additionally, TNBC tumors are usually more aggressive and there is more metastasis compared to other subtypes of breast cancer. The recurrence free survival is very short, so it likely comes back faster and is more aggressive so therefore harder to treat (Manna et al., 2016). Compared to other forms of breast cancer, the five-year survival rates are not as promising. Based on data collected from 2011 to 2017, patients with localized TNBC have a five-year survival rate of 91% compared to the 95% seen by other forms of breast cancer. For patients with distant TNBC, the five-year survival rate drops down to 12% which means that those with TNBC are twice more likely to die from it within 5 years compared to other forms of

breast cancer (*Cancer Stat Facts: Female Breast Cancer Subtypes*, n.d.). All of this points to a greater need to explore treatment options for those with TNBC as it affects everyone and has poorer prognoses.

There are targeted therapies, such as monoclonal antibodies and traditional chemotherapeutic drugs, that exist for the ER, PR markers, and HER2 protein. However, these therapies are only effective in treating ER-positive breast cancer and not ER-negative since the identifying factors, the markers, that aid in treatment success are lacking. Therefore, right now there are no targeted therapies against TNBC. The only option that exists is chemotherapy, but it is usually not effective and comes with many adverse side effects. It is quite important to find treatments that specifically target TNBC, and tremendous progress has been made with treating it, but it is not completely controlled.

In contrast to familiar forms of treatment such as chemotherapy and radiotherapy, target therapies address the root cause of the disease. This minimizes damage to healthy cells that chemotherapy and radiotherapy are known to cause. Target therapies work in a multitude of ways. They can target the proteins that are found in the tumor cells which allow them to grow, stop the growth of the tumor cells by starving them of the necessary hormones, mark the tumor cells for degradation by the immune system, boost the immune system so it can be more successful at killing the cancer cells, and also stop the signals that form the blood vessels which are necessary for the tumor growth (*Targeted Therapy to Treat Cancer*, 2022). However, just like in non-targeted therapies, the tumor cells eventually will become resistant to the treatment and the treatment will no longer be effective. Therefore, it is helpful to have multiple types of targeted therapies available so that when one type is no longer effective, another type can be used. To be able to create such a therapy for TNBC, it is important to understand the markers

found in TNBC and how it works on a cellular level to know what the target therapies need to target.

### **Long-Term Effects**

With every disease out there, there are long term health effects associated with treatment and even lasting through survival, so it is important to look for treatments that are the least harmful. One of the categories of effects specifically for breast cancer is associated with sexual concerns. Firstly, some of the chemotherapeutic agents that are used can lead to premature menopause since they are gonadotoxic. Some of the hormonal treatments used can create menopausal symptoms including night sweats, hot flashes, and atrophic vaginitis (Miller et al., 2022). Specifically by surgeries such as mastectomies, there are many musculoskeletal changes that can affect daily life. A study was performed by Rangel et al on 94 Portuguese women between the ages of 23 and 72 who had survived breast cancer. They reported that there were many musculoskeletal changes that occurred after recovery. Figure 4 shows the number of women who reported changes following a mastectomy (Rangel et al., 2019). Disorders included shoulder, neck, and arm pain, lymphedema, difficulties in everyday activities, difficulty in raising the arm, and overall decreased quality of life. Outside of sexual and musculoskeletal concerns, there are also concerns regarding other organs of the body. Some forms of treatments can cause cognitive impairment, others cause fatigue, and some can even lead to congestive heart failure (Miller et al., 2022). The study done by Rangel et al showed that women who engaged in physical activity, such as through physiotherapy, post-surgery were less likely to develop many of the possible complications (Rangel et al., 2019). Since there are a lot of negative side effects of previously existing treatments, it is important to continue to look for a more successful treatment that is less invasive and comes with less side effects.

**Table 2** Musculoskeletal disorders and perceived quality of life (QOL) reported by participants (*n* = 94)

Musculoskeletal changes and quality of life perception (QOL)	Answers	<i>n</i> (%)
Lymphedema	87	29 (33)
Arm pain ipsilateral to surgery	89	43 (48)
Shoulder pain	87	41 (47)
Difficulty in raising the arm	90	31 (34)
Neck pain	90	53 (59)
Difficulties in the activities of daily living	91	33 (36)
Decreased quality of life	91	72 (79)

Figure 4. Musculoskeletal Disorders Associated with Mastectomies (Rangel et al., 2019).

### **ERR $\alpha$ , MAPK and PI3K-Akt Pathways**

TNBC does indeed lack the important markers that are usually targeted, however there are other unique targets that exist in TNBC. This is important as it gives the option of a place to be able to control the cancer. Breast tissue, in addition to the kidneys, skeletal muscle, intestinal tract, and heart, express estrogen-related receptor alpha (ERR $\alpha$ ) (Fagerberg et al., 2014). ERR $\alpha$  is an orphan nuclear receptor and takes care of the energy demands of the cell (Manna et al., 2016). Therefore, ERR $\alpha$  provides the metabolic needs and energy of the rapidly dividing tumor cells as they spread. This was proven in a study in mice where ESRRA, the gene that encodes ERR $\alpha$ , was removed. The removal of ESRRA caused a delay in the growth of a tumor showing the importance of ERR $\alpha$  in providing the needs of proliferating cancer cells (Manna et al., 2016). ERR $\alpha$  has been used as a prognostic marker for ovarian, colon, prostate, and breast cancer and therefore is a possible place to consider targeting (F. Wu et al., 2009). Since it is found in cancer cells and is responsible for the rapid growth of the tumors, ERR $\alpha$  is thus a possible place to investigate targeting and treating TNBC.

ERR $\alpha$  is like estrogen receptor alpha (ER $\alpha$ ) and this connection can aid in determining possible treatments for cancers that expresses ERR $\alpha$  including TNBC. They are both

transcription factors, however,  $ERR\alpha$  regulates transcription of enzymes involved in glycolysis, citric acid cycle, and lipid, amino- and nucleic acid metabolism and  $ER\alpha$  regulates expression of genes involved in proliferation. There exists an inverse relationship between the expression of  $ERR\alpha$  and  $ER\alpha$ . In cases where there are high levels of  $ER\alpha$ , such as in ER-positive breast cancer cells, there are low levels of  $ERR\alpha$ . In cases where there is low expression of  $ER\alpha$ , such as in TNBC and other ER-negative cancer cells,  $ERR\alpha$  levels are high (Manna et al., 2016). A very high level of  $ERR\alpha$  expression has been linked to worse outcomes for breast cancer patients (F. Wu et al., 2009). Previous findings have shown that the downregulation of  $ERR\alpha$  slows down cell proliferation and tumorigenicity in ER-positive and ER-negative breast cancers (Manna et al., 2016). Since  $ERR\alpha$  is partly responsible for cell growth and proliferation in ER-negative breast cancers, including TNBC, it is a good place to try targeting when developing treatments for TNBC.

$ERR\alpha$  does not act alone. It has been seen that a link exists between  $ERR\alpha$  and the mitogen-activated protein kinase (MAPK) pathway. This pathway regulates cell differentiation, proliferation, and death in eukaryotic cells including human cells. Since it is involved in cell proliferation and death, it is therefore involved in the continuous proliferation of cancer cells. Thus, the MAPK pathway, along with  $ERR\alpha$ , are possible locations to investigate in treating cancer, including TNBC. The MAPK pathway consists of three sequentially activated protein kinases, extracellular-signal-regulated kinases (ERKs), Jun amino-terminal kinases (JNKs), and stress-activated protein kinases (p38/SAPKs) (Morrison, 2012). A schema of the complexity of the pathway is seen in Figure 6 (Fang & Richardson, 2005). Extracellular signal proteins such as growth factors bind to the tyrosine receptors on the surface of the cell and through phosphorylation of the kinases the signal reaches the nucleus. Once it has entered the nucleus,



this will affect gene expression and protein function. In cancer cells, the receptors responsible for activating the MAPK pathway are often overexpressed. An overexpression of the receptors leads to more binding of the extracellular signal proteins and hyperactivation of the pathway which leads to transcription factor activation or histone/chromatin modification and causes an increase in cell proliferation. Specifically, in TNBC, there is an overexpression of epidermal growth factor receptor (EGFR) which is seen in higher rates compared to other forms of breast cancer (Dent et al., 2007; Masuda et al., 2012). Targeting the MAPK pathway is therefore a good place to try treating TNBC cells as it is partly responsible for cell proliferation and is seen to be overexpressed in TNBC cells.

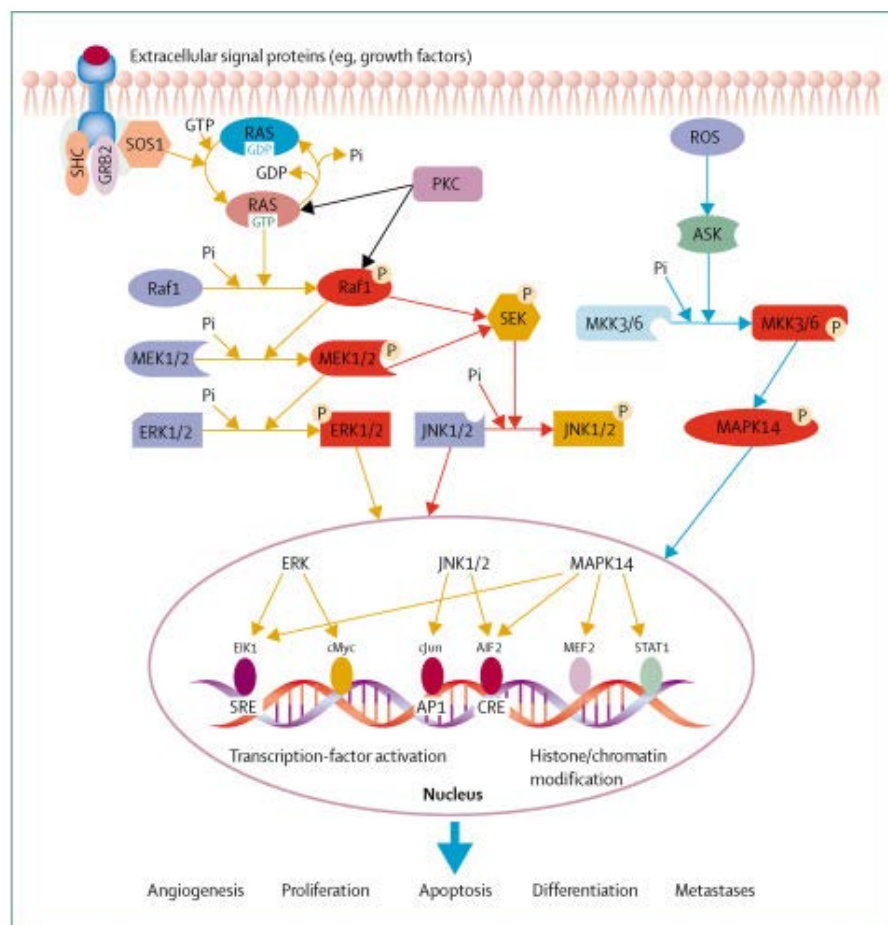


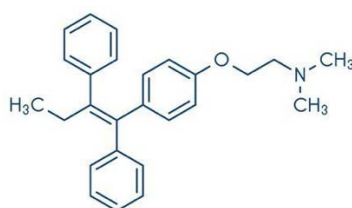
Figure 6. MAPK Pathway (Fang & Richardson, 2005).

Many parts of the MAPK pathway effect cell proliferation and tumor growth and finding the specific proteins involved in the cell growth in TNBC is important in treating it. The specific protein kinase that is important for treating TNBC is the ERKs, subdivided into ERK1/2 and ERK 5, where ERK1/2 is specifically responsive to growth factors and induces cell growth and differentiation (Morrison, 2012). Therefore, high levels of phosphorylated ERK (pERK) indicate that there is cell growth and proliferation. Another way the MAPK pathway is involved in TNBC is through the Bim protein. The MAPK pathway phosphorylates Bim and thus prevents the accumulation of the proapoptotic protein. If left unphosphorylated, the Bim protein would eventually cause apoptosis but in TNBC it does not cause apoptosis and therefore the cells will continuously grow (Mehnert et al., 2011). Both ERK and Bim, parts of the MAPK pathway, are involved in the continuous growth of cancer cells and are good markers to look into when developing treatments for TNBC.

Another pathway that also plays an important role in cancer formation is the PI3K-Akt pathway and is also another marker to consider when treating cancers including TNBC. It is a signaling cascade that is activated by nutrients, hormones, or growth factors and is involved in cell proliferation, survival, and metabolism. A mutation in the Akt protein, or an upregulation of it, is associated with the formation of tumors as it inhibits pathways that are involved in apoptosis and induces the pathways that are involved in cell growth (Madhunapantula et al., 2011). Knowing this information about the role of the PI3K-Akt pathway in tumor cells is another place to consider when trying to develop a treatment to target cancer including TNBC.

## Breast Cancer Treatments

Tamoxifen is a drug that in 1977 was approved by the Food and Drug Administration to use in the treatment of women with breast cancer (Ent & Sborne, 1998). It is a selective estrogen receptor modulator meaning an antagonist for ERs in breast tissue, but agonist for ERs in other parts of the body (Manna et al., 2016). It inhibits the binding of estrogen to the estrogen receptors and competitive inhibition thereby makes tamoxifen effective at inhibiting tumor growth in the breast cells. Specifically, the trans isomer of tamoxifen is used (as citrate salt), since trans has a higher affinity for the estrogen receptors compared to the cis isomer. As seen in Figure 7, tamoxifen has a dimethylaminoethoxy side chain which, in addition to being trans, makes it more effective at its antiestrogenic activity. Due to its antiestrogenic activity, it inhibits the expression of estrogen-regulated genes and blocks the G1 phase of the cell cycle. This includes growth factors and angiogenic factors which have been seen to be secreted by tumor cells to stimulate growth. Therefore, there is an overall decrease in cell proliferation so tumors regress (Ent & Sborne, 1998).



tamoxifen

Figure 7. Structure of Tamoxifen.

Tamoxifen has been found to be effective at treating ER-positive breast cancer but generally ineffective at treating ER-negative breast cancer as it is an antagonist for ERs in breast tissue which is found in ER-positive and not ER-negative. It is possible that ER $\beta$  may influence

the tamoxifen response in ER-negative breast cancer and cotargeting the PI3K-Akt pathway may also cause ER-negative cells to more sensitive to the effects of tamoxifen (Manna et al., 2016). Interestingly, a study was done in Sweden that has shown that there is a relationship between the effects of tamoxifen on ER-negative breast cancers and  $ERR\alpha$  expression. Breast cancer patients treated with tamoxifen who had a higher expression of  $ERR\alpha$  in their tumor cells had better results compared to those with low expression of  $ERR\alpha$ . Also, those with lower expression of  $ERR\alpha$ , the recurrence-free survival time was also decreased meaning low expression of  $ERR\alpha$  is not good because the cancer came back faster compared to those with high expression of  $ERR\alpha$ . Figure 8 shows the recurrence-free survival in a study of ER-negative cancer cells with high and low expressions of  $ERR\alpha$  and treated with tamoxifen. As seen in the left portion of the figure, a higher  $ERR\alpha$  expression is associated with a higher recurrence-free survival rate when treated with tamoxifen (Manna et al., 2016). Tamoxifen has been shown to be effective at treating those with high expression of  $ERR\alpha$ , so it is an important drug to continue exploring and use as a stepping board to try and treat those with TNBC since patients with TNBC tend to have high expression of  $ERR\alpha$ .

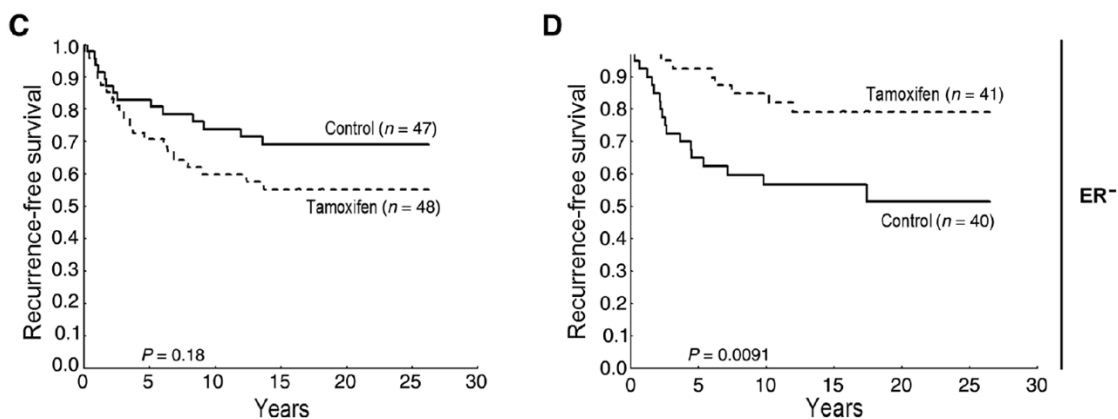


Figure 8. Recurrence-Free Survival in ER-negative Cancer Cells Treated with Tamoxifen (Manna et al., 2016).

XCT-790 is a synthetic chemical that was designed to act as a specific antagonist of  $ERR\alpha$  (F. Wu et al., 2009). It is very selective so only targets  $ERR\alpha$  and not similar receptors like  $ERR\gamma$  or  $ER\alpha$ . Previous studies have shown that XCT-790 indeed effects TNBC cells. Specifically, it decreases the G2/M phases of the cell cycle and therefore inhibits cell growth and proliferation and at the same time induces mitochondrial-related apoptosis in the cells. XCT-790 suppresses SOD1/2 expression and thereby increases reactive oxygen species (ROS) generation. ROS generation causes the death of cells further inhibiting the proliferation of the tumor cells. XCT-790 also effects cell growth by effecting signal pathways including AKT/ROS and ERK/ROS positive feedback loops, NF- $\kappa$ B/ROS, and ROS/p38-MAPK (Y.-M. Wu et al., n.d.). All this data combined shows that XCT-790 has potential in treating and slowing down TNBC cell growth.

U0126 is an inhibitor of MEK/ERK (*GPR30-Mediated HMGB1 Upregulation in CAFs Induces Autophagy and Tamoxifen Resistance in ER $\alpha$ -Positive Breast Cancer Cells*, n.d.). U0126 does not have a substantial effect on the activity of other kinases including protein kinase C, Abl, Raf, MEKK, ERK, JNK, MKK-3, MKK-4/SEK, MKK-6, Cdk2, or Cdk4. Since it is very specific, this drug is an important tool for effecting mitogen-activated protein kinase- mediated signal transduction. U0126 inhibits the MAPK cascade, specifically MEK1 and MEK2, which leads to ERK1 and ERK2 activation (Favata et al., 1998). Since U0126 effects the MAPK pathway, there is a possibility that this drug is useful in inhibiting cell growth and differentiation.

These three drugs, tamoxifen, XCT-790, and U0126, should all be experimented with individually and in combination to see their effects on TNBC cells. A link is seen between  $ERR\alpha$  expression and the MAPK pathway and each of these drugs have the potential to affect the cell proliferation. Together these drugs have the potential to inhibit tumor growth and have the

possibility of achieving a positive result on TNBC cells. Further research on the effects of tamoxifen, XCT-790, and U0126 on TNBC is required to determine whether these drugs are effective and whether they can be used in vivo in patients diagnosed with TNBC.

## **Materials and Methods**

Cells used in the experiments included MDA-MB-231 cells. All of the cells were grown in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS) and 1% penicillin–streptomycin. The cells were grown in a 37°C incubator with humidified 5% CO<sub>2</sub> atmosphere. The cells were treated with 100 nM tamoxifen in ethanol, 10 µM U0126 in DMSO, and 10 µM XCT-790 in DMSO, alone or in combination (Musheyev et al., 2023).

### ***Neutral Red Assay***

MDA-MB-231 cells were used for the neutral red assay. Cells were seeded in replicates of 6 in a 96 well plate at a density of 2,500 cells/well and were allowed to attach overnight. The next day, media was changed to the assay media and was supplemented with drugs alone or in combination. Treatment options included treatment with XCT-790, tamoxifen, U0126, XCT-790 with tamoxifen, U0126 with XCT-790, and U0126 with tamoxifen. Cell proliferation was examined 6 days later using the supravital neutral red dye. The media was removed from the well plate and 0.2 ml of 0.04 mg/ml neutral red was added to each well and the plate was incubated at 37°C for 30 minutes. 0.2 ml of solution of 0.5% formalin, 1% CaCl<sub>2</sub> (v/v) was added to each well to wash, kill, and fix the cells. 0.2 ml solution of 1% acetic acid, 50% ethanol was added to each well so the neutral red incorporated into the viable cells could be released into the supernatant. The absorbance was recorded at 540 nm using a microtiter plate spectrophotometer (Musheyev et al., 2023).

### ***Scratch Assay***

MDA-MB-231 cells were used for the scratch assay. Cells were seeded in 6-well plates in DMEM media and 10% FBS and grown to confluency in monolayer overnight. A wound/scratch was created along the diameter of each well using a 200  $\mu$ l pipette tip. The cell debris was removed by washing the cells with PBS and then fresh DMEM media supplemented with 1% FBS was added to each cell with the drugs alone or in combination. The Keyence BZX-800 microscope was used to image the cells and their migration, and the data was analyzed and plotted using Excel (Musheyev et al., 2023).

### **Results**

The MDA-MB-231 cells were serum starved for 24 hours and treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen, or 10  $\mu$ M U0126, alone or in combination for 48 hours. The treatments supplemented were either XCT-790, tamoxifen, U0126, XCT-790 with tamoxifen, U0126 with XCT-790, or U0126 with tamoxifen. Figure 10 shows the cells under 20x magnification using a BZX-800 microscope from Keyence. By just looking at the image of the cells alone, it seems that some of the drugs do have an effect on the cell density of the TNBC cells. The control did not include any drugs and shows that the cells survived and are pretty confluent. Treatment with tamoxifen alone, the cells seem pretty similar to the control cells indicating that tamoxifen did not have an effect on cell growth and density. As compared to the control, treatment with XCT-790 alone showed a significant decrease in the density of cells. Treatment with U0126 alone, there is also a decrease in the growth of cells compared to the control however not as much as XCT-790. Treatment with XCT-790 with tamoxifen, there is a drastic decrease in cell density as it appears that very few cells are attached. The cells appear unhealthy and the majority of the

cells seen are dead cells. In comparison to the control, treatment with U0126 with tamoxifen has similar amounts of attached cells. Treatment with U0126 with XCT-790, there is also a drastic reduction in attached cells compared to the control and the cells do not appear healthy. Overall, it seems that XCT-790 alone, XCT-790 with tamoxifen, and U0126 with XCT-790 showed the best results in stopping cell growth as it appears that the cells are less confluent.

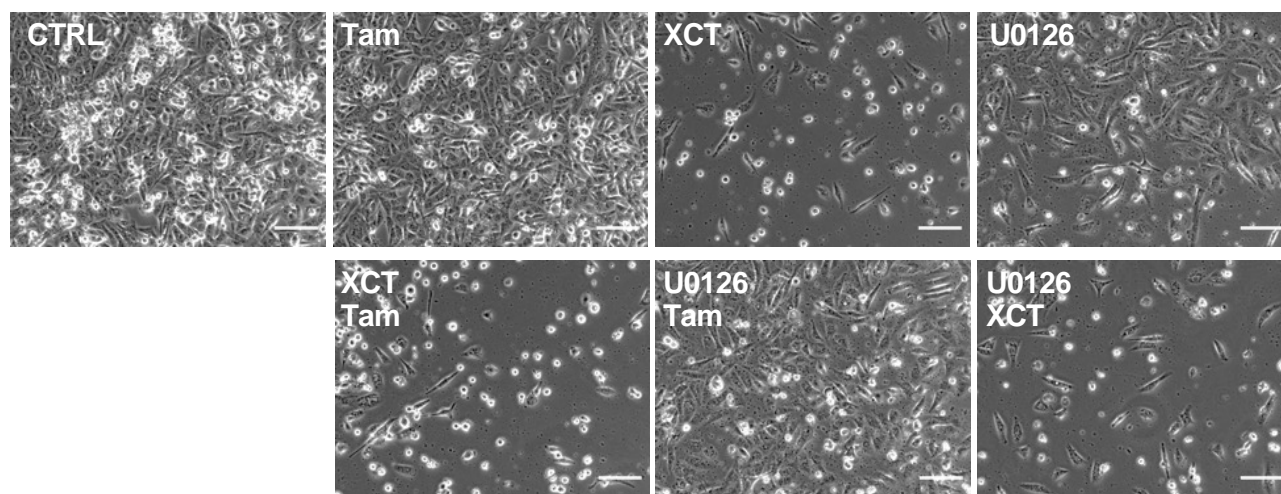


Figure 10. MDA-MB-231 cells were serum starved for 24 hours and treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen, or 10  $\mu$ M U0126, alone or in combination for 48 hours. Cells were imaged under 20x magnification using a BZX-800 microscope from Keyence. Scale bar represents 100  $\mu$ m.

### ***Neutral Red Assay***

The neutral red assay, as its name sounds, is based on the ability of living cells to bind to the neutral red dye. The dye penetrates the cells through nonionic passive diffusion and once in the cell, the neutral red is collected in the lysosomes (Gilbert & Friedrich, n.d.). By measuring the absorbance spectromotometrically using a plate reader, as seen in Figure 11, the number of alive and dead cells can be determined since the dead cells cannot retain the dye. Therefore, the absorbance of dye is directly proportional to the number of viable cells and the neutral red assay



successfully quantifies cell proliferation (Neutral Red Uptake Assay for the Estimation of Cell Viability: Cytotoxicity, n.d.). This assay is useful in determining the effects of drugs on the viability of cells. So, compared to the controls, the lower the neutral red absorption indicates that less cell proliferation has occurred and therefore those drugs in that particular combination were effective at stopping the cell growth.



Figure 11. Plate Reader Used to Measure Absorbance.

To quantify cell viability, the neutral red assay was performed to see the amount of uptake in the viable cells. The MDA-MB-231 cells were seeded in a 96-well plate in full serum media and then the next day supplemented with either XCT-790, tamoxifen, U0126, XCT-790 with tamoxifen, U0126 with XCT-790, or U0126 with tamoxifen. The neutral red assay was performed 6 days after treatment to see if the change in confluency was due to changes in the cell proliferation. After the neutral red dye was added, the absorbance values obtained from the plate reader were taken and the averages were determined for each drug and combination of drugs. Figure 12 shows a graph and corresponding table of all of the average values of absorbances in comparison to the control, the cells without any treatment. The results further prove that some of the drugs did have an effect on the cell proliferation of the TNBC cells. As compared to the control, untreated cells whose neutral red uptake was 100%, cells treated with XCT-790 had about 80% neutral red uptake which is a 20% reduction in absorbance or cell viability. Treatment

with tamoxifen alone did not show any significant change compared to the control as the uptake was about 103% indicating that it did not effect cell viability in this cell line. Related to the control, treatment with U0126 alone had about a 50% uptake of neutral red dye which is about a 50% decrease indicating that U0126 did have an effect on cell viability. Treatment with XCT-790 with tamoxifen had about a 90% uptake showing that there was an effect on cell viability however not significantly. As compared to the control, treatment with U0126 with tamoxifen had about a 50% uptake which is a 50% decrease in cell viability. Treatment with U0126 with XCT-790 had about a 40% uptake which is about a 60% decrease compared to the control.

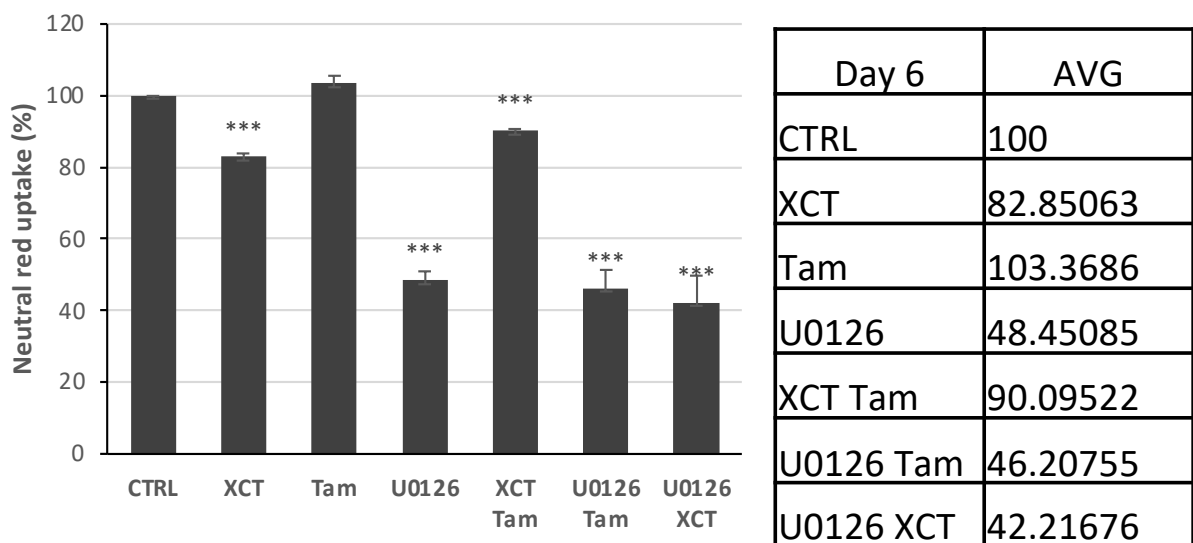


Figure 12. Average Absorbance Values from Neutral Red Assay. MDA-MB-231 cells were seeded in 96-well plate in complete DMEM media, supplemented with 10% FBS and treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen, or 10  $\mu$ M U0126, alone or in combination for 6 days and cell viability was measured using Neutral Red Assay as described in “Materials and Methods”. \*\*\* represents  $P < 0.001$ .

It is seen that treatment by tamoxifen alone did not effect cell proliferation in this cell line. However, XCT-790 and U0126 both showed a decrease in absorbance with about a 20% decrease and a 50% decrease respectively. When used in combination, tamoxifen with XCT-790

caused the absorbance to actually increase when compared to XCT-790 alone. This shows that XCT-790 alone had a bigger effect on cell proliferation than in combination with tamoxifen and therefore tamoxifen hinders the effects of XCT-790, the two ER antagonists do not work well when used together. Tamoxifen with U0126 had a slight decrease in absorbance but results were pretty similar to U0126 without tamoxifen showing that tamoxifen does not effect U0126's effect on cell proliferation for this cell line. The biggest change in percent of neutral red uptake is seen by the use of XCT-790 and U0126 in combination. This shows that the use of U0126 in combination with XCT-790 had the biggest impact on the MDA-MB-231 cells. This is consistent with the image of the cells in Figure 10 where the cells treated with XCT-790 and U0126 in combination have the fewest cells depicted. Altogether, the best results from the neutral red assay were obtained by using a combination of XCT-790 and U0126, or more importantly, targeting  $ERR\alpha$  and the MAPK pathway simultaneously are the most effective at stopping cell proliferation in MDA-MB-231 cells.

### ***Scratch Assay***

The scratch assay, or wound healing assay, is a useful tool in measuring cell motility and whether the treatments are effective at inhibiting the migration of TNBC cells. Cell migration is determined based on the cells ability to grow in a place where the cells were destroyed. A confluent cell monolayer is destroyed and a cell-free region is generated. The cells can then proliferate and migrate into the now empty space, or the wound bed. After the cells are allowed to grow, images are taken and using computer software the distance from one side of the scratch to the other side can be measured (Martinotti & Ranzato, 2020). If the distance between the two sides of the wound is very small or nonexistent, that indicates that the cells have had no problem continuing to grow, compared to a distance that is quite large which indicates that the cells were

unable to grow and fill the empty space. So, compared to the controls, the larger the wound indicates that less cell migration has occurred and therefore those drugs in that particular combination were effective at stopping the cell motility.

MDA-MB-231 cells were grown in a 6-well plate and then the next day a scratch was made and the cells were treated with XCT-790, tamoxifen, U0126, XCT-790 with tamoxifen, U0126 with XCT-790, or U0126 with tamoxifen. Figure 13 shows the images of the cells 0 hours and 17 hours after the scratch was made and Figure 14 shows the wound closure percentage of each sample 17 hours after the scratch was made. In Figure 13, the images are for the control and for each drug alone or in combination and the top left of each set is an image taken immediately after the scratch was made. As seen by the control, there is about a 90% wound closure and there no longer is a visible scratch compared to 0 hours indicating that the cells were able to migrate despite the inflicted wound. Treatment with XCT-790 had about a 50% statistically significant wound closure as the wound partially began closing. Treatment with tamoxifen alone showed significant cell migration as there is about a 90% wound closure and the scratch is no longer visible indicating that the cells did not have a problem migrating just like the control. Treatment with U0126 shows that the cells did migrate over the inflicted wound with had about a 60% statistically significant wound closure. Treatment with XCT-790 and tamoxifen also showed that the cells were able to migrate with about a 50% statistically significant wound closure. Treatment with U0126 and tamoxifen showed that the cells were able to slightly grow across the wound with about a 70% wound closure. Treatment with XCT-790 and U0126 had about a 50% statistically significant wound closure.

Based on the quantification of the scratch assay in Figure 14, cells with tamoxifen alone had nearly the same amount of wound closure as the control which means that tamoxifen was

unable to stop cell migration in the TNBC cells and this was also seen in image of the cells Figure 13. Treatment with XCT-790 alone or U0126 alone both had a statistically significant effect on inhibiting cell migration. This is also the case with treatment of XCT-790 in combination with either tamoxifen or U0126. Overall, based on the scratch assay results, treatment with XCT-790 and U0126 had statistically significant inhibition on cell migration whether used alone or in combination with another drug. Again, targeting  $ERR\alpha$ , through XCT-790, and the MAPK pathway, through U0126, simultaneously, is the most effective at inhibiting cell motility and migration in MDA-MB-231 cells.

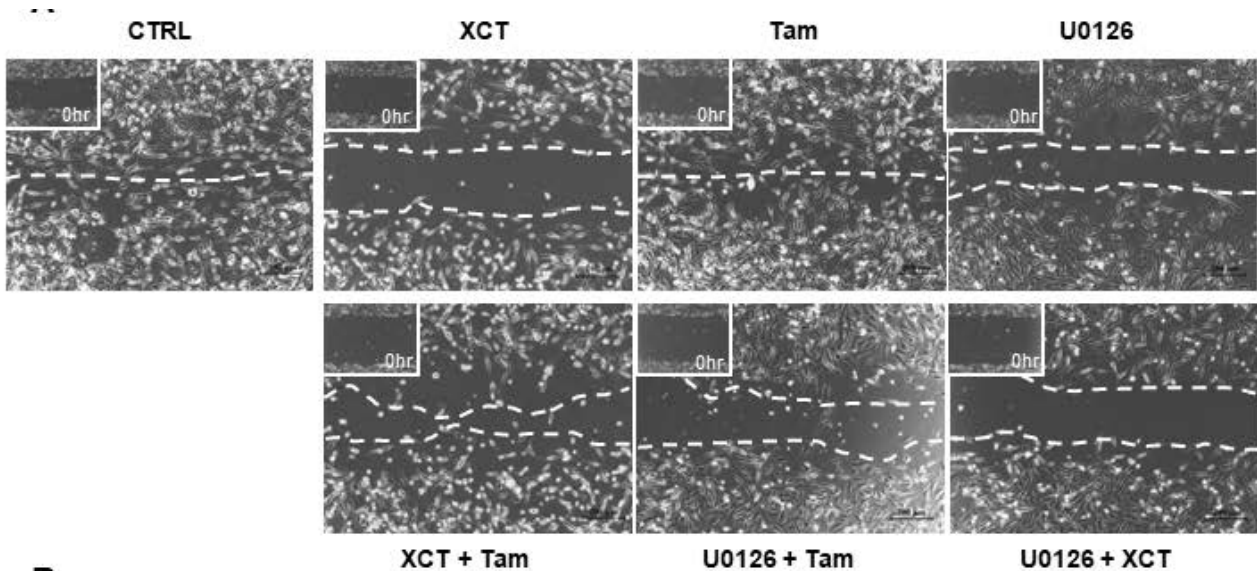


Figure 13. MDA-MB-231 wound closure measured 17 hours post generation. Cells were seeded in a 6-well plate in complete DMEM media and allowed to attach overnight. Next day, cells were placed in reduced (1%) serum media and following 8-10 hours of pretreatment with 10 $\mu$ M XCT-790, 100nM tamoxifen, or 10 $\mu$ M U0126, alone or in combination and wound/scratch was generated.

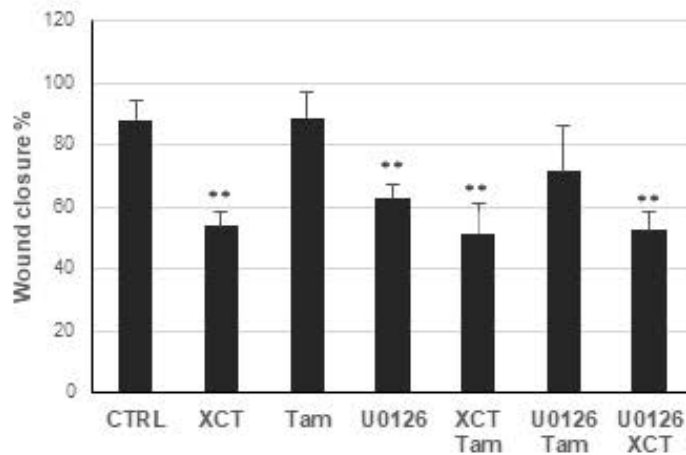


Figure 14. Wound closure percentage of MDA-MB-231 cells 17 hours after scratch based on Figure 13. Graphed using paired student's t-test with \*\* representing  $P < 0.01$ .

## Discussion

TNBC is an important form of cancer to study due to it being highly aggressive and having a poorer prognosis. It is the most dangerous subtype of common cancers and makes up approximately 10-15% of all breast cancers. The recurrence free survival is very short, so it likely comes back faster and is more aggressive. Much progress has been made in developing treatments for ER-positive breast cancers however, ER-negative breast cancer is far behind and there are less treatment options available for those suffering with TNBC. There is a great need to develop an effective drug for TNBC as the only current option available is nonspecific chemotherapy, which is notorious for only prolonging the disease and not getting rid of what it is causing it. However, it is more difficult to develop a successful treatment option as the biomarkers normally targeted in other forms of breast cancer are lacking. Therefore, it is important to first investigate other pathways that are involved to possibly be able to target those.

Previous studies have shown that tamoxifen has been shown to be helpful in treating patients with TNBC who have a high  $ERR\alpha$  expression (Manna et al., 2016), so these

experiments were done to help investigate that and to investigate signaling pathways that are responsible for  $ERR\alpha$  induced tamoxifen sensitivity. The aim of all of it is to identify treatments to target TNBC. Although both the neutral red assay and scratch assay did not show these anticipated successful results regarding tamoxifen, the experiments helped shed light on other ways to help slow down the cell proliferation in TNBC cells.

It was discovered in these experiments that the MAPK signaling pathway is regulated by tamoxifen and by  $ERR\alpha$ , and that weakening this signaling pathway is possibly a therapeutic strategy to treat TNBC. Although the mechanism of tamoxifen is not clear, it seems that tamoxifen might behave similarly to  $ERR\alpha$  as it does to  $ER\alpha$ . Both assays showed that XCT-790 and U0126 slowed down cell proliferation when used alone and even more so when used in combination. XCT-790 is a specific antagonist of  $ERR\alpha$  and U0126 is an inhibitor of MEK/ERK or the MAPK pathway.  $ERR\alpha$  is known to provide the metabolic needs and energy of the rapidly dividing tumor cells allowing them to spread throughout the body and the MAPK pathway is known to regulate cell proliferation. Altogether, the assays showed that targeting  $ERR\alpha$  (by XCT-790) and the MAPK pathway (by U0126) are effective ways to slow down the growth of TNBC cells.

The connection that is seen between  $ERR\alpha$  and ERK signaling pathway are important findings as we know that  $ERR\alpha$  is expressed in TNBC, and higher expression is usually indicative of a more aggressive form of cancer. Also, the receptors responsible for activating the MAPK pathway are often overexpressed in cancer cells leading to an increase in cell proliferation. Therefore, inhibitors of the MEK/ERK pathway, such as U0126, are good drugs to continue exploring for treating TNBC. This was seen in both assays where XCT-790 and U0126

both indeed slowed the cell proliferation of TNBC cells by slowing down cell growth or inhibiting the migration across the wound.

At this point, there are no clear ways to tackle the cancer progression in TNBC patients as the exact molecular pathways are unknown. This data helped show for the first time that there indeed exists a link between  $ERR\alpha$  and ERK signaling pathway.  $ERR\alpha$  directly binds to ERK so usage of inhibitors of the MEK/ERK signaling pathway are possibly a worthy treatment for TNBC. This was proven in both assays where it was seen that usage of the MEK1/2 inhibitor, U0126, alone or in combination with XCT-790 induced apoptosis and inhibited the migration of the TNBC cells. These studies helped show that  $ERR\alpha$  plays a significant role in the mechanisms of TNBC growth, and that cotargeting  $ERR\alpha$  and the MAPK pathway is a practical approach to treating TNBC. These are exciting findings for TNBC patients and there is hope that treating TNBC will no longer be a dream but be a reality.

Looking ahead, more research needs to be done to explore these options and to look into the role of  $ERR\alpha$  in both TNBC and healthy cells and the effects on their respective metabolic pathways. It is important to note that there are not yet any  $ERR\alpha$  inhibitors that have been approved for use in humans. Additionally, it is crucial to investigate the effects that these treatment options have on non-cancerous cells in TNBC patients. In developing a proper treatment, it is important that it be as specific as possible and only effect the TNBC cells and not the rest of the cells in the patient's body. This can be achieved by doing the same experiments on healthy non-cancerous breast tissue cells in addition to the TNBC cells. The findings in this study are just the beginning in what is hopefully an end to unnecessary TNBC deaths.

*Attached to the end please find the paper where my original work was published.*



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# Inhibition of ERK signaling for treatment of ERRA positive TNBC

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9 **Keywords**

10 TNBC (triple-negative breast cancer), ERRA, MAPK, ERK, Tamoxifen, XCT-790

11 **Abstract**

12 Breast cancer is the second leading cause of cancer-related deaths in women and triple-negative  
13 breast cancer (TNBC), in particular, is an aggressive and highly metastatic type of breast cancer that  
14 does not respond to established targeted therapies and is associated with poor prognosis and worse  
15 survival. Previous studies identified a subgroup of triple-negative breast cancer patients with high

16 expression of estrogen related receptor alpha ( $ERR\alpha$ ) that has better prognosis when treated with  
17 tamoxifen. We therefore set out to identify common targets of tamoxifen and  $ERR\alpha$  in the context of  
18 TNBC using phosphoproteomic analysis. In this study, we discovered that phosphorylation of  
19 mitogen-activated protein kinase 1 (MAPK1) is regulated by tamoxifen as well as  $ERR\alpha$ .  
20 Additionally, we showed that inhibition of MAPK signaling together with the use of a selective  
21  $ERR\alpha$  inverse agonist, XCT-790, leads to a significant upregulation of apoptosis and paves way for  
22 the therapeutic use of MAPK inhibitors for treatment of  $ERR\alpha$  expressing TNBC.

## 23 **Introduction**

24 Breast cancer is one of the most common cancers in women and is the second leading cause of  
25 cancer-related deaths in women [1,2]. The rate at which breast cancer is diagnosed is astonishing,  
26 with about a quarter of a million women diagnosed with invasive breast cancer in the United States  
27 annually and 1 in 8 women diagnosed within their lifetime [3]. Risk factors such as age, body-mass-  
28 index, and ethnicity are confounding factors for developing breast cancer [3–6]. Certain ethnic  
29 groups are disproportionately affected by breast cancer with a higher mortality despite a lower  
30 incidence, indicating that there remains a lot about this disease that we still do not know [3–5].

31 Further subclassification of breast cancer based on molecular status of the tumor reveals a correlation  
32 between prognosis and receptor expression. Tumors are classified based on expression of the  
33 following known molecular markers: estrogen receptor alpha ( $ER\alpha$ ), progesterone receptor (PR), and  
34 human epidermal growth factor receptor 2 (HER2) [5,7].  $ER\alpha$  expressing tumors account for 70% of  
35 breast cancers [8] and carry a better prognosis than triple negative breast cancer (TNBC), classified  
36 as tumors that do not express  $ER\alpha$ , PR and no amplification of HER2, which account for 15-20% of  
37 breast cancers [5,9–12]. Unlike  $ER\alpha$  expressing tumors, TNBCs tend to affect younger,  
38 premenopausal women, have a higher mortality rates of 40% within the first 5 years after diagnosis,

39 and disproportionately affect African Americans [3,5]. A combination of the poor prognosis in  
40 TNBC and predominance in African Americans might be driving the racial disparity of breast cancer  
41 survival. Therefore, the difference in prognosis and receptor expression between ER $\alpha$  expressing  
42 tumors and TNBCs requires diverging therapeutic approaches in the treatment of the two cancers.

43 Approaches to treating ER $\alpha$  expressing tumors focus on inhibiting ER $\alpha$  function with endocrine  
44 therapies by either antagonizing the binding of estrogen to ER $\alpha$  (selective estrogen receptor  
45 modulators), promoting ER $\alpha$  degradation (selective estrogen receptor degraders), or by blocking  
46 estrogen synthesis (aromatase inhibitors) [13,14]. An example of one of the earlier used selective  
47 estrogen receptor modulators (SERM) is tamoxifen. Approved by the FDA in 1977, it was found to  
48 be most beneficial in tumors with high expression of ER $\alpha$  and continues to be used therapeutically  
49 today [14,15]. Patients respond very well to tamoxifen treatment with 40-50% reduction in both  
50 distant and local recurrence with 5 years of treatment [8]. However, new or acquired resistance  
51 develops in approximately 30% of cases and tumors can spontaneously convert to hormone-  
52 independent proliferation or can lose ER $\alpha$  expression altogether, creating a greater need for  
53 understanding molecular mechanisms of hormone receptor negative breast cancer [15–18].  
54 Therefore, further research has focused on identifying alternative molecular pathways responsible for  
55 tumor progression as druggable targets, [9,10,12,19] and such research overlaps with the search for  
56 targeted treatments for TNBCs.

57 Since TNBC tumors do not express any known markers, treatment options for TNBC patients are  
58 extremely limited and currently no targeted therapies exist for such patients [12]. This leaves  
59 chemotherapy as the only treatment option for TNBC [11,12,20–22] with a three-year overall  
60 survival of 74% compared to 89% in non-TNBC tumors, indicating that chemotherapy is not very  
61 effective [20]. Besides for leading to a significantly lower survival in ER $\alpha$  negative tumors as

62 compared to ER $\alpha$  expressing tumors, this treatment option burdens patients with the adverse effects  
63 of chemo-toxicity such as alopecia, myelosuppression, gastrointestinal disturbances, nephrotoxicity,  
64 neurotoxicity, cardiotoxicity, and infertility, calling into question any benefit from chemotherapy at  
65 the cost of a reduced quality of life [23]. Current scientific work aims to offer insights into molecular  
66 drivers that may be leveraged in the treatment of TNBC by further classifying tumor  
67 microenvironment, identifying tumor cellular signatures and mRNA expression profiles [9,10,12,19].  
68 One such molecular driver that is currently explored is estrogen related receptor  $\alpha$  (ERR $\alpha$ ) [24,25].

69 ERR $\alpha$  is an orphan nuclear receptor that is part of the superfamily of transcription factors, which  
70 include ERR $\alpha$ , ERR $\beta$ , ERR $\gamma$  [26]. ERR $\alpha$  is structurally most similar to ER $\alpha$  and there is an overlap  
71 in ER $\alpha$  and ERR $\alpha$  binding to response elements in promoters of genes whose expression they  
72 regulate [27]. Despite their homology, ligands, such as estrogen, that bind to ER $\alpha$  do not bind to  
73 ERR $\alpha$ , therefore signaling between the two molecules is quite divergent [27]. Though its function in  
74 metabolic processes in the muscle heart and liver has been described, its role in tumorigenesis is not  
75 fully understood [28–32]. Metabolic functions that are regulated or that are thought to influence  
76 ERR $\alpha$  include glycolysis, cholesterol metabolism, fatty acid oxidation, and oxidative metabolism  
77 [28,31]. In breast cancer, ERR $\alpha$  expression is mutually exclusive with ER $\alpha$  expression and is  
78 correlated with a more aggressive and metastatic disease [27]. Previous studies have shown that  
79 although ERR $\alpha$  was a negative predictive marker for progression free survival and disease  
80 recurrence, in TNBC-basal-like tumors, when treated with tamoxifen, ERR $\alpha$  expression was  
81 associated with a slightly prolonged distal metastasis-free survival, while those treated with  
82 chemotherapy alone, had significantly shorter interval to metastasis. Additionally, patients with  
83 elevated nuclear expression of ERR $\alpha$  who were treated with tamoxifen had a better prognosis than  
84 patients with elevated nuclear ERR $\alpha$  who were not treated with tamoxifen, indicating that there is a  
85 therapeutic benefit to treating ERR $\alpha$ -expressing TNBC patients with tamoxifen and that the action of



86 tamoxifen in TNBCs is  $ERR\alpha$  dependent. [25]. These findings indicated that a subgroup of  $ER\alpha$ -  
87 negative patients respond to tamoxifen and this effect is dependent on  $ERR\alpha$  expression. This  
88 evidence prompted our current study, whose aim was to investigate the relationship between  $ERR\alpha$   
89 and tamoxifen in the context of TNBC. To investigate this relationship, phosphoproteomic analysis  
90 was performed in TNBC cells to identify common pathways that are regulated by both tamoxifen as  
91 well as XCT-790, an inverse agonist of  $ERR\alpha$  [33] and to identify therapeutic targets. Our findings  
92 identify MAPK1 (also known as ERK2) as a common target for tamoxifen and  $ERR\alpha$  and show that  
93 treatment of TNBC cells with a MEK1/2 inhibitor together with XCT-790 leads to activation of  
94 apoptosis and inhibition of cell migration and invasion, suggesting that ERK is a potential novel  
95 therapeutic target that should be considered for TNBC treatment.

96

## 97 **Materials and Methods**

### 98 **Cell culture and treatments**

99 MDA-MB-231, MDA-MB-436, MDA-MB-157, Hs 578T, BT-549 sh-control and sh- $ERR\alpha$  cells  
100 were grown in Dulbecco's modified Eagle's medium (Gibco) with 10% fetal bovine serum (R&D, a  
101 Bio-Techne Brand) and 1% penicillin–streptomycin (Gibco). Cells were cultured in a 37°C incubator  
102 with a humidified 5% CO<sub>2</sub> atmosphere. MDA-MB-231, MDA-MB-436, MDA-MB-157, Hs 578T,  
103 BT-549 cells were purchased from ATCC. sh- $ERR\alpha$  and sh-control cells were a kind gift from Dr.  
104 Marina Holz.

105 Cells were treated with either 100 nM tamoxifen in ethanol (Millipore), 10  $\mu$ M U0126 in DMSO  
106 (Tocris, a Bio-Techne Brand), or 10  $\mu$ M XCT-790 in DMSO (Tocris, a Bio-Techne Brand), alone or  
107 in combination.

## 108 **Immunoblotting**

109 Following treatment, cells were lysed in ice cold lysis buffer (RIPA buffer with Triton®X-100,  
110 Halt™ Protease & Phosphatase Single-Use Inhibitor Cocktail (100X), ThermoScientific). Insoluble  
111 materials were centrifuged out at 14,000 rpm and 4°C for 10 min. Using the Bradford assay  
112 (Coomassie Protein Assay Reagent, ThermoScientific) and the Eppendorf BioPhotometer, cell  
113 protein concentrations were measured and normalized. 4X LDS Sample Buffer (Invitrogen B0008)  
114 and Bolt™ 10x Sample Reducing Agent (Invitrogen) were added to the samples followed by  
115 denaturation for 10 minutes at 70°C. Samples were resolved through electrophoresis using NuPage™  
116 4-12% Bis-Tris Gels (Invitrogen) and then transferred onto a nitrocellulose membrane  
117 (ThermoScientific) for staining. Immunoblots were detected using the following primary antibodies:  
118 ERKα #13826, Phospho-p44/42 MAPK (ERK1) (Tyr204)/(ERK2) (Tyr187) #5726, Phospho-  
119 p90RSK1 (Ser380) #12032, RSK1 #8408, p44/42 MAPK (ERK1/2) #4695, Phospho-MAPK  
120 Substrates Motif [PXpTP] MultiMab™ #14378, Phospho-mTOR (Ser2448) #5536, PARP #9532,  
121 and β-Actin #4970. All primary antibodies were ordered from Cell Signaling Technology. After  
122 staining with primary antibodies, nitrocellulose membranes were treated with IRDye conjugated  
123 secondary antibodies (IRDye® 680RD Donkey anti-Mouse IgG Secondary Antibody, IRDye®  
124 800CW Goat anti-Rabbit IgG Secondary Antibody, Li-COR), and then imaged using the Odyssey-  
125 Clx Li-COR infrared detection instrument. Quantification of immunoblots was performed using  
126 Image Studio 5.2 (Li-COR).

## 127 **Immunoprecipitation assay**

128 Cells were lysed in ice cold IP lysis buffer (Pierce™ IP Lysis Buffer, Halt™ Protease & Phosphatase  
129 Single-Use Inhibitor Cocktail (100X), Thermo Scientific). Lysates were subjected to  
130 immunoprecipitation with either Sepharose®Bead Conjugated p44/42 MAPK (Erk1/2) antibody

131 #5736 (Cell Signaling Technology) or Protein A Agarose beads, 50% slurry (EMD Millipore)  
132 overnight at 4<sup>0</sup>C. Beads were pelleted from solution, washed twice with IP buffer and once with PBS  
133 and boiled using Invitrogen's LDS Sample Buffer and Reducing Agent according to manufacturer's  
134 instructions.

### 135 **Phosphoproteomics sample processing and data analysis**

136 Cells were lysed in RIPA lysis buffer, the supernatant was collected following centrifugation at  
137 21000xg for 10 min, and an acetone precipitation was performed overnight at -20°C. The samples  
138 were re-suspended in 7 M urea, reduced with 5 mM DTT (dithiothreitol) and alkylated with 15 mM  
139 CAA (chloroacetamide). A standard tryptic digest was performed overnight at 37°C. Solid Phase  
140 Extraction (SPE) was then performed using C18 Prep Sep™ cartridges (Waters, WAT054960),  
141 followed by reconstitution in 0.5% TFA (trifluoroacetic acid). The SPE cartridge was washed with  
142 conditioning solution (90% methanol with 0.1% TFA), and equilibrated with 0.1% TFA. The sample  
143 was passed (1 drop/sec) through the equilibrated cartridge, then desalted. The sample was then eluted  
144 (1 drop/sec) with an elution solution (50% ACN (acetonitrile) with 0.1% TFA. The sample was then  
145 TMT labeled according to kit specifications (ThermoFisher Scientific, 90110), with the exception  
146 that labeling was performed for 6hrs instead of 1 hr. Following labeling, another SPE was performed,  
147 as stated above. Phosphopeptide enrichment using Titansphere Phos-TiO Kit (GL Sciences, 5010-  
148 21312) was then performed. Briefly, samples were reconstituted in 100 µL of Buffer B (75% ACN,  
149 1% TFA, 20% lactic acid – solution B in the kit). The tip was conditioned by centrifugation with 100  
150 µL of Buffer A (80% ACN, 1% TFA), followed by conditioning with Buffer B (3000xg, 2min). The  
151 samples were then loaded onto the tip and centrifuged twice (1000xg, 5min). The tip was then  
152 washed with 50 µL of Buffer B, followed by 2 washes with 50 µL of Buffer A (1000xg, 2min). The  
153 samples were eluted with 100 µL of elution 1 (20% ACN, 5% NH<sub>4</sub>OH) then 100 µL of elution 2

154 (20% ACN, 10% NH<sub>4</sub>OH) (1000xg, 5min). A final clean-up step was performed using C18 Spin  
155 Columns (Pierce, 89870).

## 156 **Mass spectrometry, data filtering, and bioinformatics**

157 Mass spectrometry analysis was carried out as follows: to separate peptides, reverse-phase nano-  
158 HPLC was performed by a nanoACQUITY UPLC system (Waters Corporation). Peptides were  
159 trapped on a 2 cm column (Pepmap 100, 3 μM particle size, 100 Å pore size), and separated on a  
160 25cm EASYspray analytical column (75 μM ID, 2.0 μm C18 particle size, 100 Å pore size) at 45°C.  
161 The mobile phases were 0.1% formic acid in water (Buffer A) and 0.1% formic acid in acetonitrile  
162 (Buffer B). A 180-minute gradient of 2-30% buffer B was used with a flow rate of 300 nl/min. Mass  
163 spectral analysis was performed by an Orbitrap Fusion Lumos mass spectrometer (ThermoFisher  
164 Scientific). The ion source was operated at 2.4kV and the ion transfer tube was set to 275°C. Full MS  
165 scans (350-2000 m/z) were analyzed in the Orbitrap at a resolution of 120,000 and 4e5 AGC target.  
166 The MS<sub>2</sub> spectra were collected using a 0.7 m/z isolation width and analyzed by the linear ion trap  
167 using 1e4 AGC target after HCD fragmentation at 30% collision energy with 50ms maximum  
168 injection time. The MS<sub>3</sub> scans (100-500 m/z) were acquired in the Orbitrap at 50,000 resolution, with  
169 a 1e5 AGC, 2 m/z MS<sub>2</sub> isolation window, at 105ms maximum injection time after HCD  
170 fragmentation with a normalized energy of 65%. Precursor ions were selected in 400-2000 m/z mass  
171 range with mass exclusion width of 5 – 18 m/z. Polysiloxane 371.10124 m/z was used as the lock  
172 mass.

173 The raw mass spectrometry data was searched with MaxQuant (1.6.6.0). Search parameters were as  
174 follows: specific tryptic digestion, up to 2 missed cleavages, a static carbamidomethyl cysteine  
175 modification, variable protein N-term acetylation, and variable phospho(STY) as well as methionine

176 oxidation using the human UniProtKB/Swiss-Prot sequence database (Downloaded Feb 1, 2017).

177 MaxQuant data was deposited to PRIDE/Proteome Xchange

## 178 **Fractionation**

179 Following treatment, MDA-MB-231 cells were harvested, and using NE-PER™ Nuclear and

180 Cytoplasmic Extraction Reagents (ThermoScientific), nuclear fractionation was performed according

181 to manufacturer's instructions.

## 182 **Cell proliferation assays**

183 Cells were seeded in replicates of 6, at a density of 2,500 cells/well in 96 well plates and allowed to

184 attach overnight. Next day, media were changed to assay media, supplemented with or without

185 agents as indicated. Cell proliferation was assayed after 6 days using the supravital dye neutral red

186 (NR) incorporation. The medium was removed, 0.2 ml of medium containing 0.04 mg/ml NR was

187 added per well, and incubation was continued for 30 min at 37 °C. Cells were then rapidly washed

188 and fixed with a 0.2-ml solution of 0.5% formalin, 1% CaCl<sub>2</sub> (v/v), and the NR incorporated into the

189 viable cells was released into the supernatant with a 0.2-ml solution of 1% acetic acid, 50% ethanol.

190 Absorbance was recorded at 540 nm with a microtiter plate spectrophotometer. Experiments were

191 performed a minimum of three times. Cytotoxicity graphic data were presented as the mean

192 percentages of control ± standard deviation (STDEV).

## 193 **Wound healing assay**

194 Cells were seeded in 6-well plates in complete DMEM media, supplemented with 10% FBS and

195 grown to confluency in monolayer overnight. Wound/scratch was created along the diameter of each

196 well using a 200µl pipette tip. Cell debris were removed by washing once with PBS, followed by

197 addition of fresh DMEM media supplemented with 1% FBS, with or without agents as indicated.  
198 Cell imaging and migration were measured using Keyence BZX-800 microscope. Data was analyzed  
199 and plotted using Excel. Experiments were performed a minimum of three times.

## 200 **Boyden chamber assay**

201 Cells were cultured in media without serum and treated with either XCT-790, tamoxifen and/or  
202 U0126, as indicated. Nineteen hours later,  $1 \times 10^5$  cells per well were plated on tissue culture inserts  
203 with 8.0- $\mu\text{m}$  pores. The inserts were incubated with serum-free media containing 10  $\mu\text{M}$  XCT-790,  
204 100 nM tamoxifen or 10  $\mu\text{M}$  U0126, alone or in combination. Complete media were added to the  
205 lower chamber and cells allowed to migrate for 15 hours. After 15 hours, cells remaining on the  
206 upper side of the membrane were scraped off, and the cells that had migrated to the lower side of the  
207 membrane were fixed in 4% paraformaldehyde. The insert membranes were removed, stained, and  
208 mounted on coverslips using DAPI Fluoromount. Images were collected at 10 $\times$  magnification using  
209 Keyence BZX-800 microscope. Nuclei were counted manually, and data were analyzed using two-  
210 tailed Student's *t* test and plotted using Excel. Experiments were performed a minimum of three  
211 times.

## 212 **Microscopy**

213 Cells were imaged under phase contrast lens using the Keyence BZX-800 microscope with a 20x  
214 objective.

## 215 **Statistical analysis**

216 All experiments were repeated at least thrice. Data was analyzed using Excel and significance of data  
217 was determined using t-tests, where \* represents *P* values <0.05, \*\* represents *P* values <0.01, and  
218 \*\*\* represents *P* values <0.001.

219

## 220 **Results**

221 To identify common targets that are regulated by both tamoxifen as well as ERR $\alpha$  inverse agonist  
222 XCT-790 in TNBC, phosphoproteomic analysis was performed on MDA-MB-231 cells (Figure 1A,  
223 Supplementary Figure 1 and Supplemental Table 1). Bioinformatic analysis identified 307 unique  
224 targets with statistically significant phosphorylation (*P*<0.05) changes (Figure 1B and Supplemental  
225 Table 2). Further analysis of the data identified 19 unique phosphosites that were changed in both  
226 tamoxifen and XCT-790 treated cells (Table 1). A specific direct target which was of particular  
227 interest to us was MAPK1, whose phosphorylation was upregulated on tyrosine 187 (also known as  
228 p-ERK Y204/187) upon treatment with tamoxifen as well as with XCT-790. MAPK1 is a member of  
229 the Ras/Raf/MEK/ERK signaling pathway that regulates cell cycle progression as well as apoptosis  
230 and warrants further study as an important player in TNBC progression.

231 To validate phosphoproteomic findings, MDA-MB-231 cells were grown in serum-free media for 24  
232 hrs and treated with 100 nM tamoxifen and 10  $\mu$ M XCT-790, alone or in combination as indicated  
233 and probed with an antibody that recognizes proteins that are phosphorylated at the threonine within  
234 the PXpTP motif, also known as the phospho-MAPK substrate motif (Figure 2). As compared to the  
235 untreated control, phosphorylation changes in phospho-MAPK substrate motif [PXpTP] are readily  
236 seen and are indicated by asterisks. In tamoxifen or XCT-790 treated samples, phospho-MAPK  
237 substrate changes are seen by 15-30 min post treatment (Figure 2A) and in samples treated with

238 combination of tamoxifen and XCT-790, phospho-MAPK substrate changes are pronounced as early  
239 as 5 min post treatment (Figure 2B). Counterstaining of the membrane with an antibody specific for  
240 the phosphorylation of MAPK1 on tyrosine 187 (p-ERK Y204/187) showed upregulation in  
241 phosphorylation upon treatment with XCT-790 and tamoxifen, alone or in combination. Therefore,  
242 western blot analysis validated the phosphoproteomic findings and further showed that XCT-790 and  
243 tamoxifen treatments regulate MAPK signaling pathway globally in TNBC. To further validate  
244 phosphoproteomic findings and explore the relationship between  $ERR\alpha$ , tamoxifen, and ERK in the  
245 context of TNBC, MDA-MB-231 cells were grown in serum-free media for 24 hrs and treated with  
246 tamoxifen and XCT-790, alone or in combination over a time course of 5, 15, 30 and 60 min (Figure  
247 3). Immunoblotting verified that  $ERR\alpha$  inhibition by XCT-790 caused statistically significant  
248 upregulation of p-ERK Y204/187 as early as 5, 15 and 30 min post treatment (Figure 3A and B).  
249 Likewise, tamoxifen treatment caused statistically significant upregulation of p-ERK Y204/187 as  
250 early as 5, 15 and 30 min post treatment and similar results were observed in the combination of  
251 XCT-790 and tamoxifen treated samples (Figure 3D and E). Importantly, statistically significant  
252 upregulation of p-RSK1 S380, a direct downstream target of ERK, was observed in XCT-790 and  
253 tamoxifen treated samples, alone or in combination (Figure 3A and C). This finding validates  
254 phosphoproteomic's findings and indicates that MEK/ERK/RSK signaling pathway is regulated by  
255  $ERR\alpha$  and tamoxifen in TNBC. Additionally, this effect is specific to the MAPK signaling pathway,  
256 as XCT-790 or tamoxifen treatment had no impact on activation of mTOR on S2448 (Figure 3A and  
257 D). Mammalian target of rapamycin (mTOR) is a parallel signaling pathway that is activated by  
258 growth factors and is often hyperactivated in cancer, including breast cancer [34]. These results  
259 indicate that  $ERR\alpha$  and tamoxifen regulate p-ERK and that this effect is direct and specific to the  
260 MAPK signaling pathway.



261 In an effort to define the relationship between  $ERR\alpha$  levels and tamoxifen response, as well as to  
262 explore the role of  $ERR\alpha$  in the phosphorylation of ERK, MDA-MB-231 cells with stable  
263 knockdown of  $ERR\alpha$  (sh $ERR\alpha$ ), were grown in serum-free media for 24 hrs and treated with  
264 tamoxifen for 5, 15, 30 and 60 min (Figure 4). As compared to control, MDA-MB-231 cells with  
265 reduced  $ERR\alpha$  expression showed 2-fold upregulation of phosphorylation of p-ERK on Y204/187  
266 (Figure 4A and B). This validates our previous findings and indicates that the effect of XCT-790 on  
267 ERK phosphorylation is due to its inhibition of  $ERR\alpha$ . Furthermore, treatment of either MDA-MB-  
268 231 cells or cells with reduced expression of  $ERR\alpha$ , showed that there is an inverse relationship  
269 between  $ERR\alpha$  expression and phosphorylation of ERK on Y204/187, such that high  $ERR\alpha$   
270 expression in MDA-MB-231 cells is correlated with low p-ERK Y204/187 expression and reduced  
271  $ERR\alpha$  expression in sh- $ERR\alpha$  cells is correlated with increased phosphorylation of p-ERK on  
272 Y204/187 (Figure 4 A and C). This finding further validates phosphoproteomic data and shows that  
273 inhibition of  $ERR\alpha$  by XCT-790 as well as use of tamoxifen activate ERK signaling, and this has  
274 tremendously important implications for TNBC patients as we have identified a signaling pathway  
275 and a specific molecular target whose inhibition can be used therapeutically.

276 Since ERK is known to have both cytoplasmic as well nuclear functions, it is important to determine  
277 subcellular localization of p-ERK and its function. MDA-MB-231 cells were grown in serum-free  
278 media for 24 hrs, treated with tamoxifen and XCT-790, alone or in combination for 30 min, followed  
279 by preparation of nuclear and cytoplasmic fractions. As seen in Figure 4D, tamoxifen and XCT-790  
280 treatment, alone or in combination, upregulated phosphorylation of ERK on Y204/187 specifically in  
281 the cytoplasm, and no p-ERK expression was detected in the nuclear fraction at this time point.  
282 Consistent with ERK's cytoplasmic function, its downstream target RSK1 was also phosphorylated  
283 on S380 upon tamoxifen as well as XCT-790 treatment, alone or in combination, and this was  
284 observed only in the cytoplasmic fraction and not in the nuclear fraction. The presence of histone H3

285 mainly in the nuclear fraction and presence of actin mainly in the cytoplasmic fraction served as  
286 technical control (Figure 4D).

287 Since XCT-790 and tamoxifen treatments upregulate phosphorylation of ERK, this paves the way for  
288 investigating the use of MAPK inhibitors as a therapeutic strategy for TNBC patients. To that end,  
289 we investigated the effectiveness of U0126, a MEK1/2 inhibitor, in the context of TNBC cells.

290 MDA-MB 231 cells were grown in serum free media and treated with 10  $\mu$ M XCT-790, 100 nM  
291 tamoxifen and 10  $\mu$ M U0126, alone or in combination (Figure 5). Following 48 hrs of treatment, cells  
292 were imaged with Keyence BZX-800 microscope under phase contrast (Figure 5A). Tamoxifen  
293 treatment alone did not have an effect on cell growth and density, however U0126 treatment and to a  
294 greater extent XCT-790 treatment showed a significant reduction in cell growth and density.

295 Additionally, combination of tamoxifen together with XCT-790 as well as U0126 together with  
296 XCT-790 had a drastic and pronounced effect on cell density with very few cells attached. The  
297 attached cells were not healthy and appeared spindle-like or rounded up and majority of cells were  
298 floating dead cells. To quantify cell viability, relative uptake of neutral red dye by the lysosomes of  
299 live cells was measured. MDA-MB-231 cells were seeded in a 96-well plate in full serum media,  
300 treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen and 10  $\mu$ M U0126, alone or in combination and  
301 neutral red assay was performed 6 days post treatment (Figure 5B). Consistent with previous  
302 observation, cells treated with XCT-790 had approximately 20% reduction in cell viability, while  
303 treatment of cells with U0126 alone or in combination with tamoxifen or XCT-790 had greater than  
304 50% reduction in cell viability.

305 To further investigate whether the effect on cell viability is due to cell death, rather than inhibition of  
306 cell growth, expression level of cleaved-PARP fragment, a marker of apoptotic cell death was  
307 investigated (Figure 5C and D). MDA-MB-231 cells were grown in serum free media for 24 hrs and

308 treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen and 10  $\mu$ M U0126, alone or in combination for 24  
309 hrs. As expected, U0126 treatment blocked activation of ERK signaling as seen via reduction of p-  
310 ERK Y204/187 and XCT-790 treatment reduced ERR $\alpha$  protein levels. Additionally, p-ERK  
311 Y204/187 and p-RSK1 S380 levels were upregulated upon treatment with XCT-790 and tamoxifen,  
312 validating previous data (Figure 5C). Most importantly, we observed statistically significant  
313 upregulation in apoptosis as measured by quantification of cleaved-PARP fragment (Figure 5D),  
314 indicated by the arrow (Figure 5C). The most notable increase in cleaved PARP levels was observed  
315 in samples treated with a combination of U0126 and XCT-790, which exhibited the greatest level of  
316 cleaved PARP when compared to cells treated with either U0126 or XCT-790, alone. This finding is  
317 very exciting as it indicates that the use of MEK inhibitors together with inhibition of ERR $\alpha$  is  
318 effective at inducing apoptosis in TNBC cells and is a first indication of designing a targeted therapy  
319 for treatment of TNBC.

320 We subsequently wanted to examine whether such therapy is effective at inhibition migration and  
321 invasion of TNBC cells. To test the effect on cell motility, wound-healing assay was performed and  
322 quantified using MDA-MB-231 cells (Figure 6A and C). Though tamoxifen treatment alone did not  
323 have an effect on cell migration, treatment with either XCT-790 or U0126 had a statistically  
324 significant effect on inhibiting cell migration and this effect was also seen in combination therapy of  
325 XCT-790 with either tamoxifen or U0126. To test the effect on cell invasion, trans-well migration  
326 assay was performed and quantified using MDA-MB-231 cells (Figure 6B and D). Similar trend was  
327 observed in the trans-well migration assay as in the wound-healing assay. In particular, both XCT-  
328 790 and U0126 treatments had statistically significant inhibition on cell migration and this effect was  
329 maintained in all three combinations of treatments.

330 To verify that such therapy is beneficial to treatment of TNBC and is not unique to MDA-MB-231  
331 cells, expression levels of  $ERR\alpha$  and active signaling of MEK/ERK/RSK pathway were investigated  
332 in a panel of TNBC cells (Figure 7A). As expected, all of the tested TNBC cells express  $ERR\alpha$ , but  
333 they also show active MEK/ERK/RSK signaling as indicated by phosphorylation of ERK on  
334 Y204/187 and RSK on S380. To identify the mechanism of XCT-790 action on ERK signaling, we  
335 wanted to see whether  $ERR\alpha$  and ERK interact using co-immunoprecipitation assay using whole cell  
336 lysates. We confirmed that ERK and  $ERR\alpha$  interact using MDA-MB 231 (Figure 7B) as well as high-  
337  $ERR\alpha$  expressing MDA-MB 436 (Figure 7C) cell lines.

338

## 339 **Discussion**

340 Despite significant strides that have been made in the development of treatments for hormone  
341 receptor positive breast cancer, progress in the treatment of TNBC has lagged behind, leaving  
342 patients with few treatment options. Results from previous studies identified a subset of patients with  
343 TNBC who have high  $ERR\alpha$  expression and respond to tamoxifen treatment [25]. This finding  
344 prompted us to further investigate the role of  $ERR\alpha$  in conferring tamoxifen sensitivity in TNBC  
345 tumors and to discover signaling pathways responsible for  $ERR\alpha$  induced tamoxifen sensitivity, with  
346 the aim of identifying specific druggable target for the treatment of TNBC. In our study, we  
347 discovered that the MAPK signaling pathway is regulated by tamoxifen as well as  $ERR\alpha$  and that  
348 attenuation of this signaling pathway may be a promising therapeutic strategy for the treatment of  
349 TNBC. Though the mechanism of tamoxifen action in TNBC cells is not clear, since  $ERR\alpha$  and  $ER\alpha$   
350 can regulate a subset of common target genes, specifically ones with high relevance to breast tumor  
351 biology [35], it is possible that tamoxifen might have a similar mechanism of action on  $ERR\alpha$  as it  
352 does on  $ER\alpha$ . Of the targets we identified through phosphoproteomic analysis, MAPK1

353 phosphorylation at Y204/187 was particularly interesting as the MAPK pathway has been implicated  
354 in many processes associated with cancer progression including, tumor proliferation, invasion,  
355 metastasis, migration, and apoptosis [36,37]. MAPK1 is part of a kinase signaling cascade that begins  
356 extracellularly with the EGFR receptor and cascades through a series of kinases including Ras, Raf,  
357 MEK, and ERK 1/2 consecutively [38]. ERK 2 is also known as MAPK1 and is a serine threonine  
358 kinase [39]. The identification of ERK as a target of  $ERR\alpha$  and tamoxifen identifies an important  
359 target whose modulation should be further explored clinically as a therapy in TNBC.

360 Further validation of the phosphoproteomic result with immunoblotting confirmed that XCT-790 as  
361 well as tamoxifen treatment led to an increase in ERK phosphorylation on Y204/187 as well as  
362 phosphorylation of the downstream kinase cascade. Our results show that  $ERR\alpha$  directly binds to  
363 MAPK1, and through this interaction leads to modulation of MAPK1 phosphorylation on Y204/187  
364 as well as downstream signaling. Since MAPK activation is known to support cellular survival, we  
365 hypothesized that this activation might be a compensatory cellular signal in response to treatment.  
366 Such compensatory responses have been previously described and have been implicated in the  
367 development of drug resistance [40]. Crosstalk from other pathways such as PI3K/Akt/mTOR or  
368 activation feedback loops within the Raf/MEK/ERK signaling pathway have been previously  
369 implicated in pro-survival compensatory mechanisms and drug resistance, thus encouraging further  
370 research into the use of drug combinations that act on multiple targets and disrupt the molecular  
371 compensatory mechanisms [37,41,42]. Though activation of Raf/MEK/ERK signaling is associated  
372 with tumor progression, it also identified an  $ERR\alpha$  dependent target whose inhibition can be explored  
373 clinically for treatment of TNBC tumors.

374 Strategies to curb tumor progression through the inhibition of the MAPK pathway have been  
375 previously described and are currently used in the treatment of colon cancer and melanoma [43–53].

376 In breast cancer, preclinical studies described reduced tumor volume in xenograft models, induced  
377 cell cycle arrest, and increased apoptosis with the attenuation of the MAPK pathway [54,55]. Though  
378 these results have yet to be validated in clinical trials, inhibition of EGFR in breast cancer was tested  
379 clinically due to evidence of EGFR overexpression in nearly half of TNBCs [43,44]. Results from  
380 those trials were limited showing benefit only in certain subgroups of patients and clinical trials  
381 exploring the use of MEK inhibitors in breast cancers are currently underway. In our work, we  
382 showed that a combination of  $ERR\alpha$  inverse agonist and MEK inhibition leads to statistically  
383 significant reduction in cell proliferation, upregulation of apoptosis as well as inhibition of cell  
384 migration and invasion.

385 TNBC is a highly aggressive and metastatic form of breast cancer with a poor prognosis and poor  
386 patient outcome. To date, we do not have a clear understanding of molecular pathways that modulate  
387 cancer progression and therefore no targeted treatment therapies exist for TNBC patients. What is  
388 exciting and important is that we, for the first time, present data that show a direct link between  
389  $ERR\alpha$  and ERK signaling pathway.  $ERR\alpha$  is a transcription factor that is highly expressed in TNBC  
390 and is associated with a more aggressive cancer and a poor outcome. We have shown that  $ERR\alpha$   
391 directly binds to ERK and modulates its phosphorylation on Y204/187 as well as downstream  
392 pathway activation, indicating that inhibitors of the MEK/ERK signaling pathway should be  
393 considered therapeutically for treatment of TNBC. As a proof of this concept, we showed that the use  
394 of MEK1/2 inhibitor alone or in combination with XCT-790 induces apoptosis as well as inhibits  
395 migration and invasion in TNBC cells. This is a highly exciting finding for TNBC patients and  
396 indicates that further investigation into clinical use of MEK inhibitors should be done for treatment  
397 of TNBC.

398

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402

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558

## 559 **Figure legends**

560 **Figure 1: Phosphoproteomic analysis of XCT-790 and tamoxifen treated MDA-MB-231 cells.**

561 (A) Steps of the work flow chart of samples treated for the phosphoproteomic analysis. (B) Outcome  
562 of number of identified unique phosphorylated proteins in each group.

563

564 **Table 1: Significant phosphoproteomic changes in XCT-790 as well as tamoxifen treated**

565 **samples.** A table of statistically significant hits from phosphoproteomic analysis of cells treated with  
566 XCT-790 as well as tamoxifen.

567

568 **Figure 2: XCT-790 and tamoxifen treatments regulate signaling of MAPK pathway.** (A) MDA-  
569 MB-231 cells were grown in starvation media for 24 hrs and treated with either 10  $\mu$ M XCT-790 or  
570 100 nM tamoxifen for 5, 15, 30 or 60 minutes. Cells were lysed as described in “*Materials and*  
571 *Methods*” and indicated proteins were detected by immunoblot. (B) MDA-MB-231 cells were grown  
572 in starvation media for 24 hrs and treated with 10  $\mu$ M XCT-790 and 100 nM tamoxifen for 5, 15, 30  
573 or 60 minutes. Cells were lysed as described in “*Materials and Methods*” and indicated proteins were  
574 detected by immunoblot. \* indicates phosphorylation changes in [PXpTP] motif as compared to  
575 control. ‘C’ is untreated control.

576

577 **Figure 3: XCT-790 and tamoxifen treatments upregulate phosphorylation of p-ERK on**  
578 **Y204/187.** (A) MDA-MB-231 cells were grown in starvation media for 24 hrs and treated with either  
579 10  $\mu$ M XCT-790 or 100 nM tamoxifen for 5, 15, 30 or 60 minutes. Cells were lysed as described in  
580 “*Materials and Methods*” and indicated proteins were detected by immunoblot. (B) Quantification of  
581 p-ERK Y204/187 protein levels normalized to total ERK signal from ‘A’. (C) Quantification of p-  
582 RSK1 S380 protein levels normalized to actin signal from ‘A’. (D) MDA-MB-231 cells were grown  
583 in starvation media for 24 hrs and treated with 10  $\mu$ M XCT-790 and 100nM tamoxifen for 5, 15, 30  
584 or 60 minutes. Cells were lysed as described in “*Materials and Methods*” and indicated proteins were  
585 detected by immunoblot. (E) Quantification of p-ERK Y204/187 protein levels normalized to actin  
586 signal from ‘D’. (F) Quantification of p-RSK1 S380 protein levels normalized to actin signal from  
587 ‘D’. \* represents  $P<0.05$ , \*\* represents  $P<0.01$  and \*\*\* represents  $P<0.001$ .

588

589 **Figure 4: Tamoxifen potentiates phosphorylation of ERK on Y204/187 in the absence of ERR $\alpha$ .**  
590 (A) sh Control and sh ERR $\alpha$  cells were treated with 100 nM of tamoxifen for 5, 15, 30, or 60

591 minutes. Cells were lysed as described in “*Materials and Methods*” and the indicated proteins were  
592 detected by immunoblot. (B) Relative levels of p-ERK Y204/187 normalized to actin, \*\* represents  
593  $P<0.01$ . (C) Relative levels of p-ERK Y204/187 and  $ERR\alpha$ , normalized to actin from ‘A’. (D) MDA-  
594 MB 231 cells were treated with 10  $\mu$ M XCT-790 and/or 100 nM tamoxifen for 30 minutes followed  
595 by lysis with NE-PER Nuclear Cytoplasmic extraction kit as described in ‘*Materials and Methods*’  
596 and lysates from nuclear and cytoplasmic extractions were immunoblotted with the indicated  
597 antibodies.

598

599 **Figure 5: Inhibition of  $ERR\alpha$  potentiates apoptotic effects of U0126 in TNBC cells.** (A) MDA-  
600 MB-231 cells were serum starved for 24 hrs and treated with 10  $\mu$ M XCT-790, 100 nM tamoxifen, or  
601 10  $\mu$ M U0126, alone or in combination for 48 hrs. Cells were imaged under 20x magnification using  
602 BZX-800 microscope from Keyence. Scale bar represents 100  $\mu$ m. (B) MDA-MB-231 cells were  
603 seeded in 96-well plate in complete DMEM media, supplemented with 10% FBS and treated with 10  
604  $\mu$ M XCT-790, 100 nM tamoxifen, or 10  $\mu$ M U0126, alone or in combination for 6 days and cell  
605 viability was measured using Neutral Red cytotoxicity assay as described in “*Materials and*  
606 *Methods*”. (C) MDA-MB-231 cells were serum starved for 24 hrs and treated with 10  $\mu$ M XCT-790,  
607 100 nM tamoxifen, or 10  $\mu$ M U0126, alone or in combination for 24 hrs as indicated. Cells were  
608 lysed as described in “*Materials and Methods*” and immunoblotted for indicated proteins. Arrow  
609 indicates cleaved PARP fragment. (D) Quantification of cleaved PARP fragment from “B”  
610 normalized to actin. \* represents  $P<0.05$ , \*\* represents  $P<0.01$  and \*\*\* represents  $P<0.001$ .

611

612 **Figure 6: Inhibition of  $ERR\alpha$  together with U0126 prevents cell migration and invasion in**  
613 **TNBC cells.** (A) MDA-MB-231 cells were seeded in a 6-well plate in complete DMEM media and

614 allowed to attach overnight. The following day cells were placed in reduced (1%) serum media and  
615 following 8-10 hrs of pretreatment with 10 $\mu$ M XCT-790, 100nM tamoxifen, or 10 $\mu$ M U0126, alone  
616 or in combination and wound/scratch was generated. Wound closure was measured 17 hrs post  
617 generation. (B) Cell migration/Boyden chamber assay was performed as described in “*Materials and*  
618 *Methods*”. Representative images of MDA-MB-231 cells stained with 4',6-diamidino-2-phenylindole  
619 (DAPI) following 15 hrs migration assay are shown. (C) Quantification of the Wound Healing assay  
620 from (A) was performed and graphed using paired Student’s t-test. \* represents  $P<0.05$ . \*\* represents  
621  $P<0.01$ . (D) Histogram representing the number of cells migrated relative to untreated control. \*  
622 represents  $P<0.05$ , \*\* represents  $P<0.01$  and \*\*\* represents  $P<0.001$ .

623 **Figure 7: ERK $\alpha$  directly binds to ERK.** (A) The represented TNBC cell lines were lysed as  
624 described in “*Materials and Methods*” and immunoblotted for the indicated proteins. (B) MDA-MB  
625 231 cells were lysed and immunoprecipitated as described in “*Materials and Methods*” and proteins  
626 were detected by immunoblot. (C) MDA-MB 436 cells were lysed and immunoprecipitated as  
627 described in “*Materials and Methods*” and proteins were detected by immunoblot.

628

## 629 **Supporting information**

630 **Supplemental figure 1: Principal component analysis (PCA).** Principal component analysis of  
631 protein abundance of MDA-MB 231 cells treated with tamoxifen, XCT-790 or tamoxifen plus XCT-  
632 790.

633

## 634 **Conflict of Interest**

635 Authors declare no conflict of interest.

636

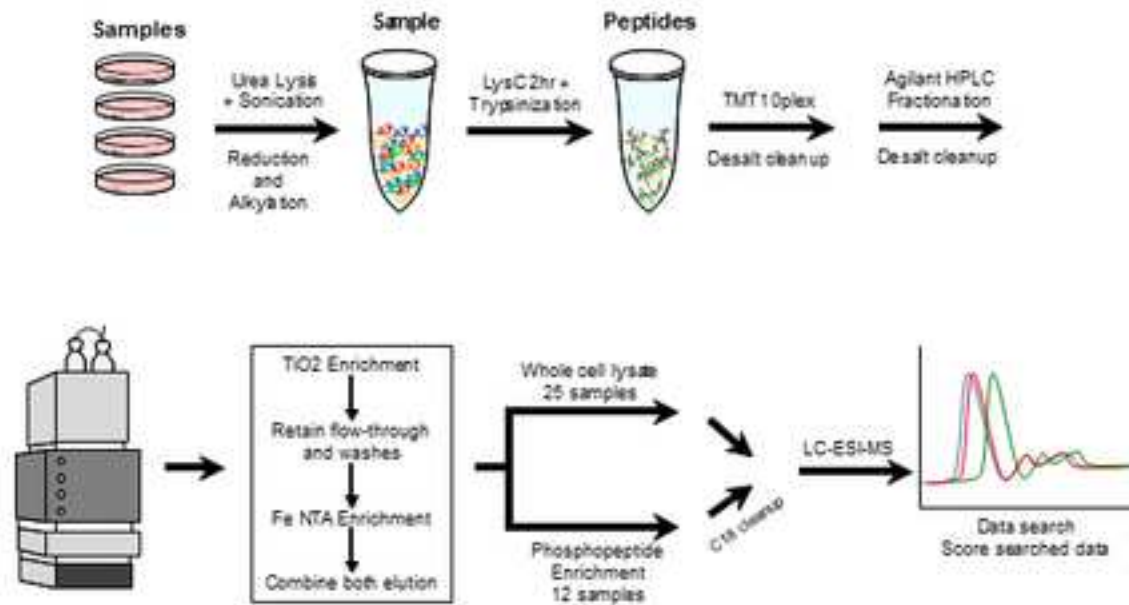
637 **Funding**

638 This work was supported by NIH (CA220021) and the Appelbaum foundation.

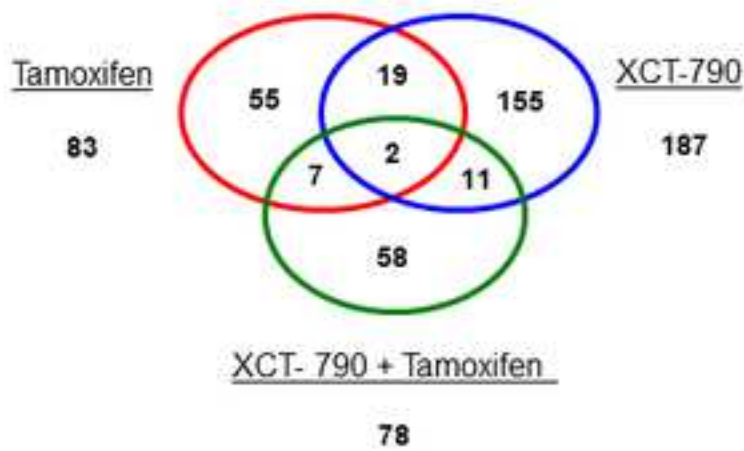
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## Figure 1

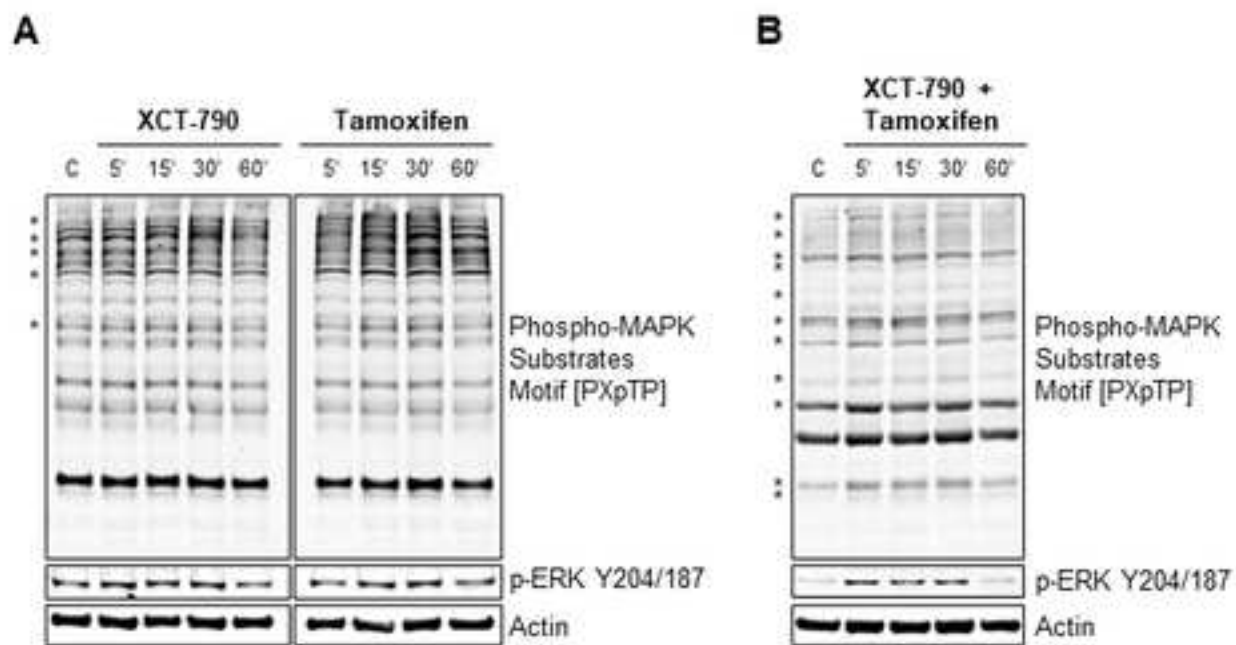
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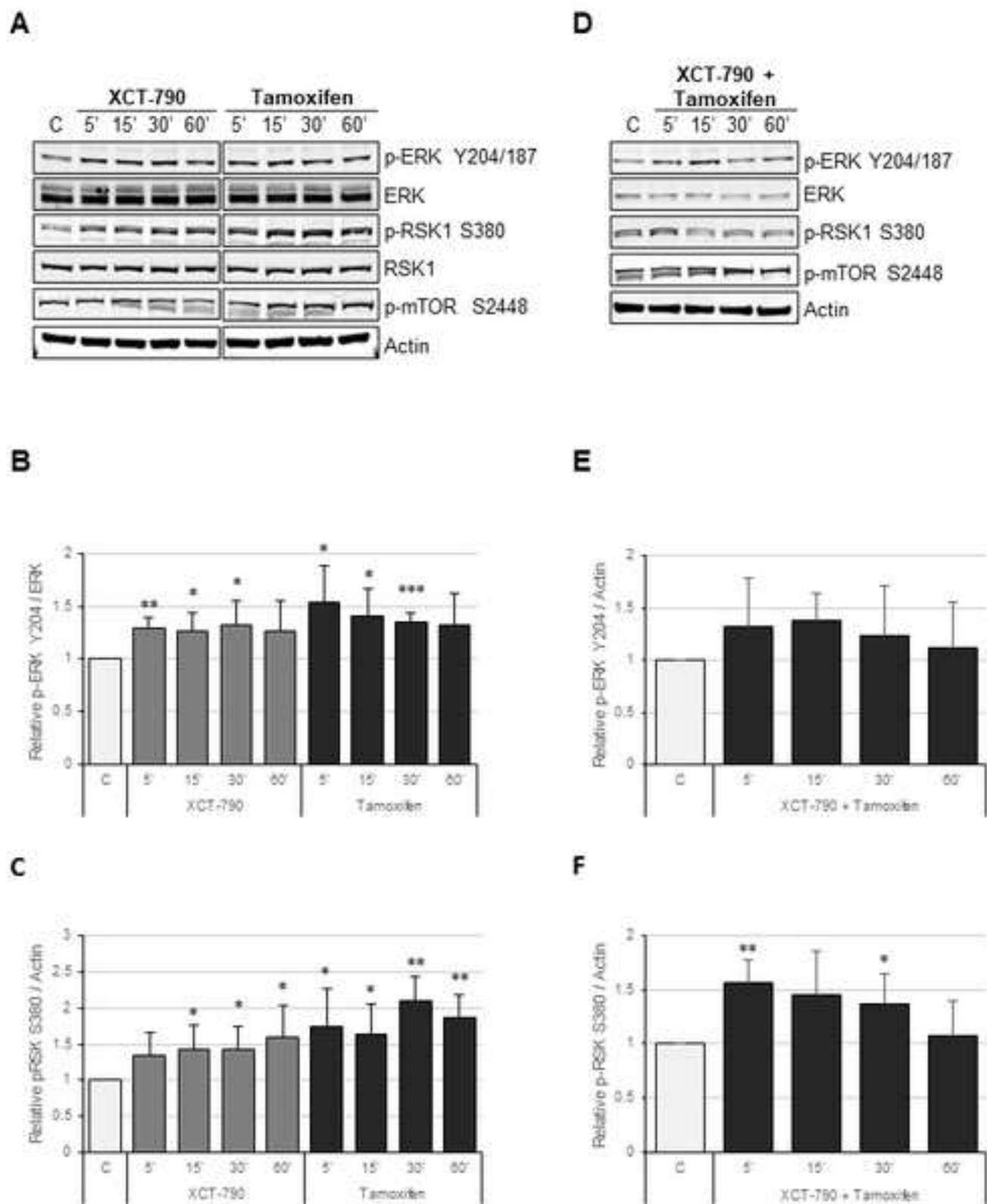


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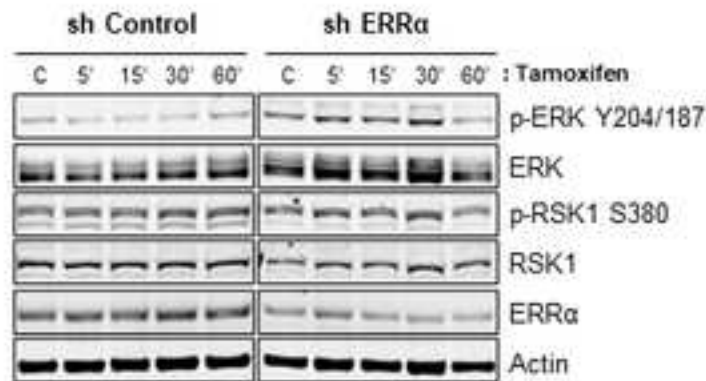


**Figure 2**

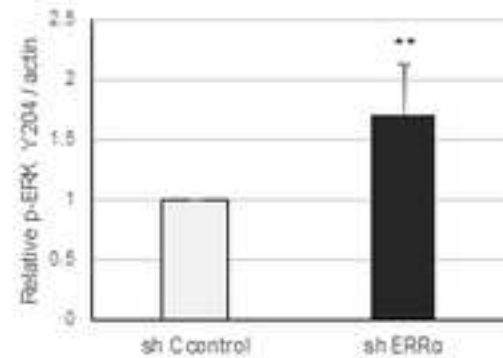
**Figure 3**

## Figure 4

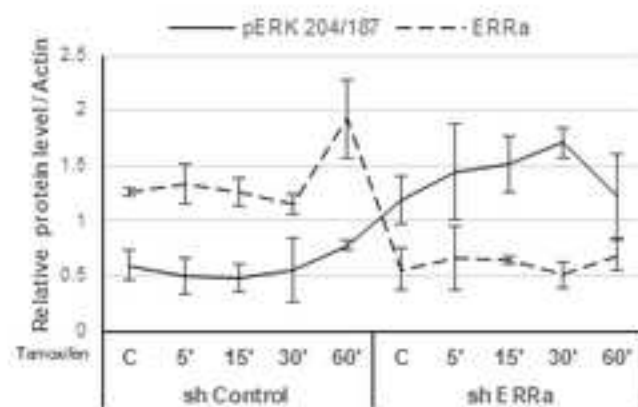
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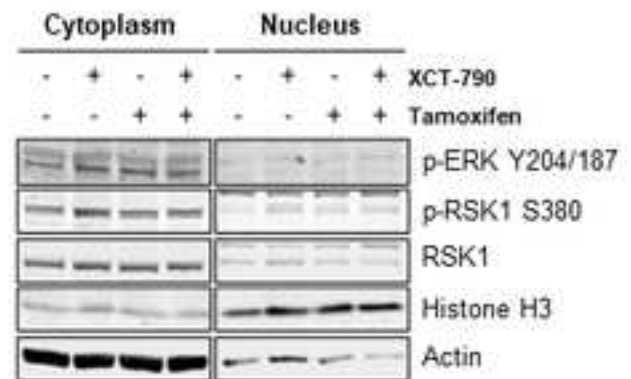
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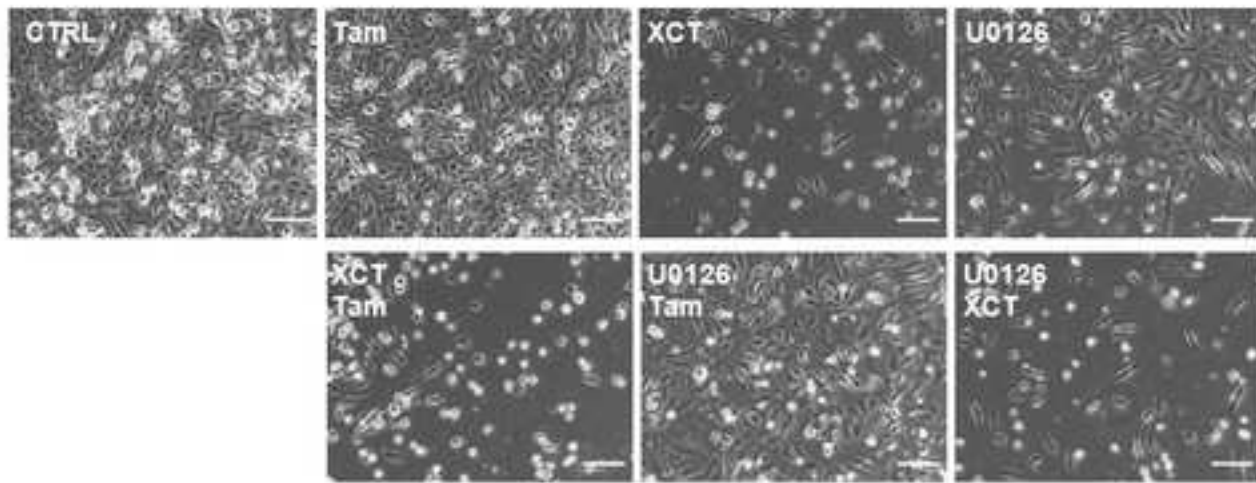
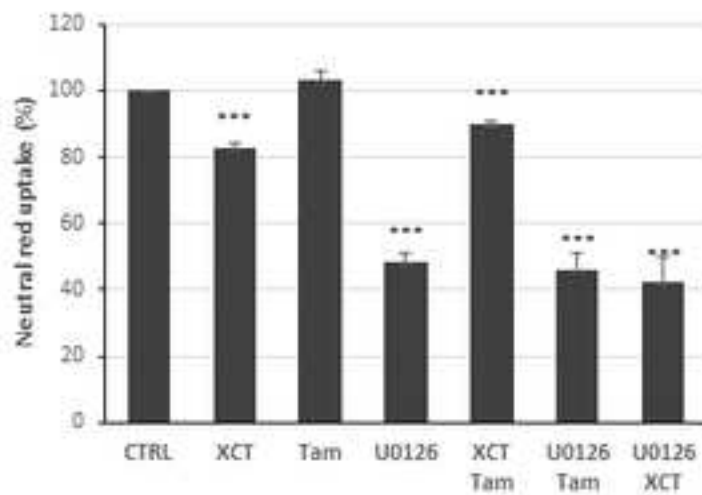
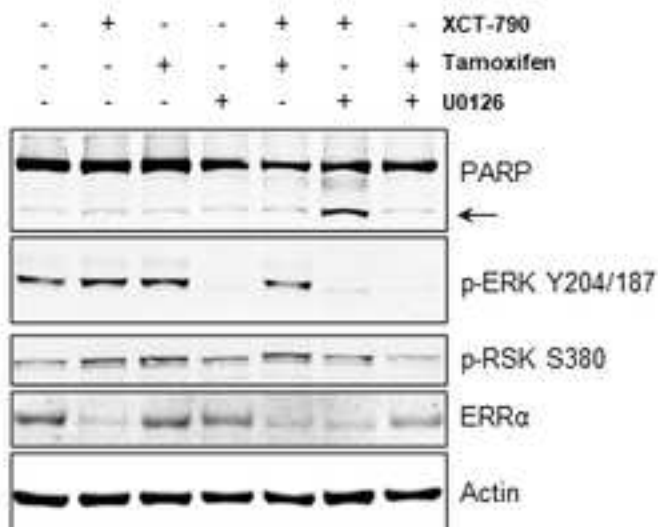
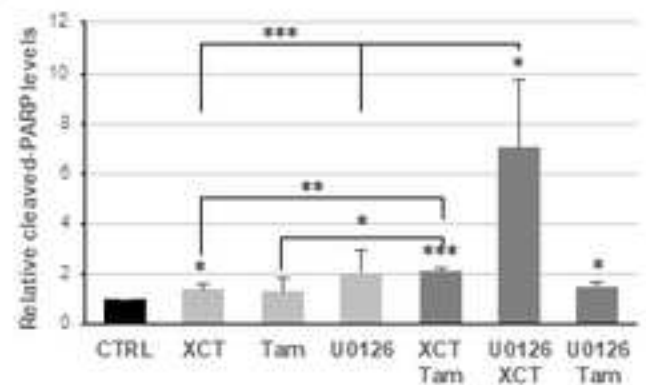


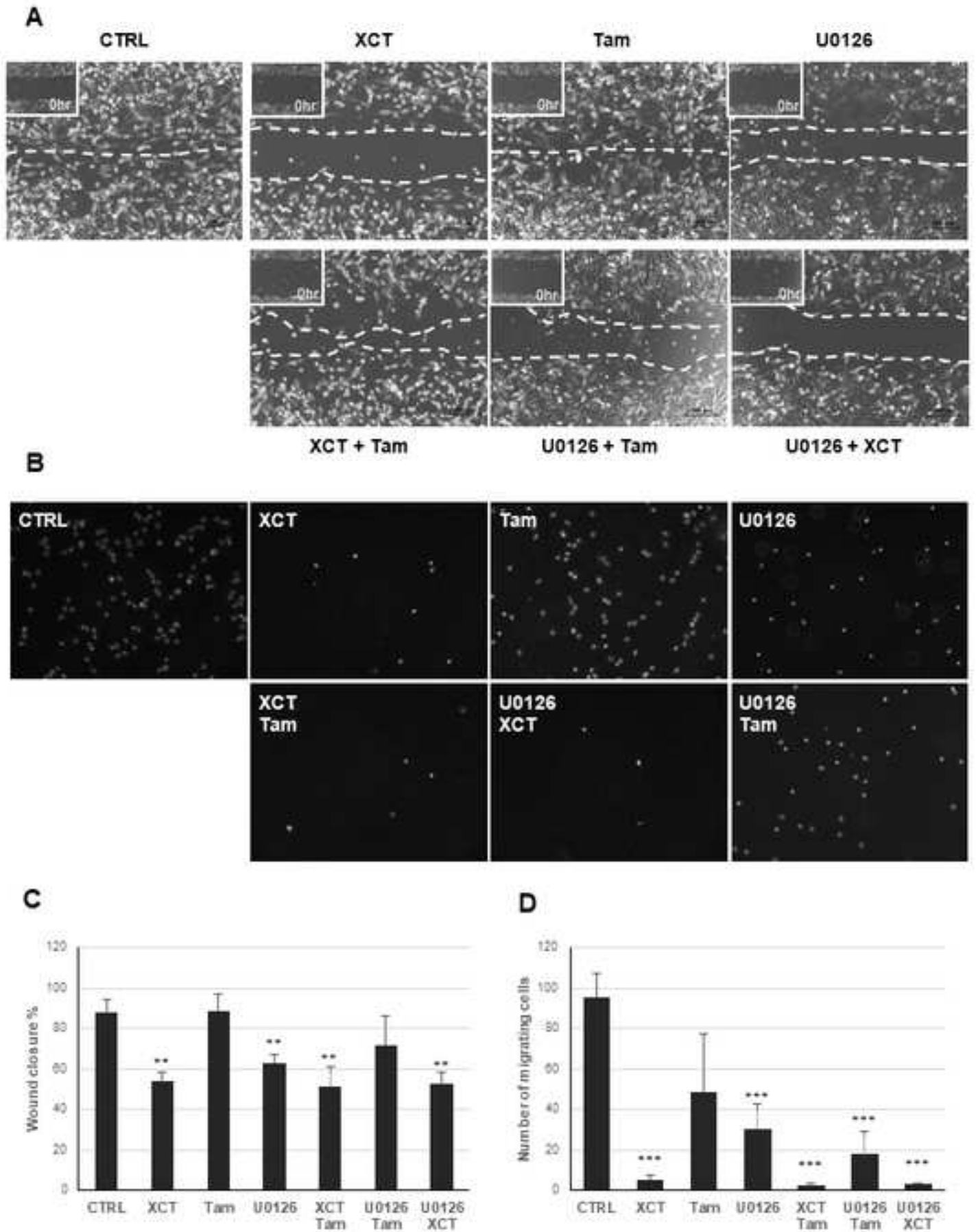
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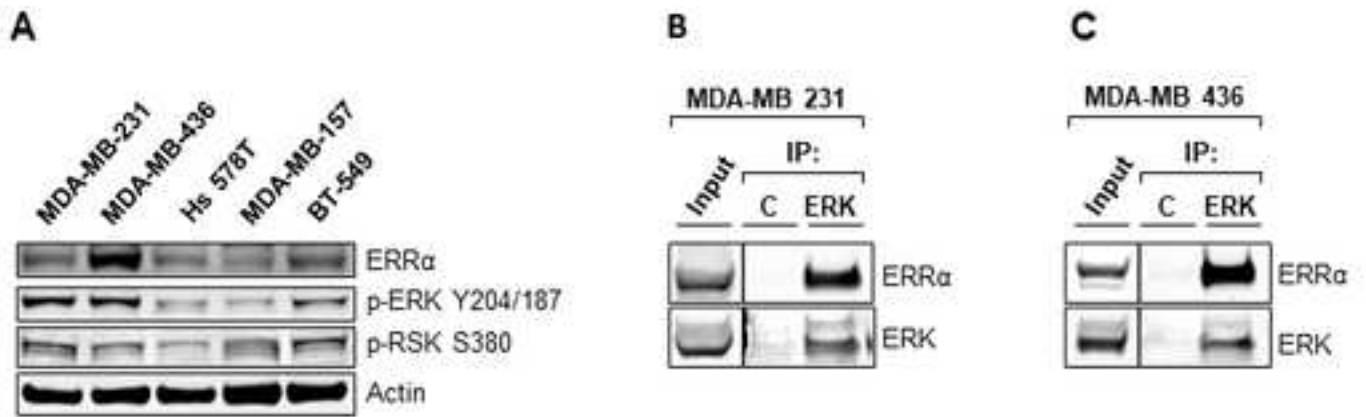


D



**Figure 5****A****B****C****D**

**Figure 6**

**Figure 7**



**Table 1**

<b>Protein</b>	<b>Protein names</b>	<b>Gene names</b>	<b>Position</b>
Q03252	Lamin-B2	LMNB2	420
Q9Y2U5	Mitogen-activated protein kinase kinase kinase 2	MAP3K2	239
Q08170	Serine/arginine-rich splicing factor 4	SRSF4	431
Q9Y2D5	A-kinase anchor protein 2	AKAP2	720
Q3KQU3-2	MAP7 domain-containing protein 1	MAP7D1	796
Q9BWF3-3	RNA-binding protein 4	RBM4	86
Q9UKV3-5	Apoptotic chromatin condensation inducer in the nucleus	ACIN1	408
Q8WZ73-3	E3 ubiquitin-protein ligase rififylin	RFFL	212
Q5JSH3-2	WD repeat-containing protein 44	WDR44	163
Q13547	Histone deacetylase 1	HDAC1	421
Q96RT1-7	Protein LAP2	ERBB2IP	1015
P42858	Huntingtin	HTT	432
Q13158	FAS-associated death domain protein	FADD	194
Q9NXH8	Torsin-4A	TOR4A	63
Q8IYB3-2	Serine/arginine repetitive matrix protein 1	SRRM1	595
Q9UQ35	Serine/arginine repetitive matrix protein 2	SRRM2	1014
Q8NCF5	NFATC2-interacting protein	NFATC2IP	204
Q15366-7	Poly(rC)-binding protein 2	PCBP2	317
P28482-2	Mitogen-activated protein kinase 1	MAPK1	187